

Analysis of Unallocated Balances, Recommended Cancellations, and New Authorizations for the General Bonding Subcommittee

March 15, 2016
10:00 AM



OFFICE OF FISCAL ANALYSIS

Room 5200, Legislative Office Building
Hartford, CT 06106 • (860) 240-0200
E-Mail: ofa@cga.ct.gov
www.cga.ct.gov/ofa

OFA STAFF

Neil Ayers, Director

Christine Ashburn, Section Chief

Sarah Bourne, Principal Analyst	Elementary Education, Office of Higher Education, Town Education Grants
Rachel Della Pietra, Principal Analyst	Children and Families, Public Health, Medical Examiner, Dept. of Veterans' Affairs
Christina Gellman, Principal Analyst	Banking, Dept. of Insurance, Dept. of Developmental Services, Teachers' Retirement, Dept. of Rehabilitation Services
Alan Shepard, Principal Analyst	Board of Regents for Higher Education, UConn, UConn Health Center, Consumer Protection,

Michael Murphy, Section Chief

Evelyn Arnold, Associate Analyst	Economic Development, Housing, Culture and Tourism
Anne Bordieri, Associate Analyst	Energy Funds, Consumer Counsel, Transportation Fund, Transportation Bonding, Motor Vehicles, Dept. of Transportation, Soldiers, Sailors & Marines'
Grant Gager, Associate Analyst	Attorney General, Treasurer, Debt Service, Bonding
William Lederman, Principal Analyst	Budget Information System, Income Tax Modeling
Chris Wetzel, Principal Analyst	Dept. of Labor, Tax Policy & Revenue Analysis, Dept. of Revenue Services, Spending Cap

Chris Perillo, Section Chief

Don Chaffee, Principal Analyst	Legislative Management, Emergency Services & Public Protection, Office of Governmental Accountability, Secretary of the State, State Personnel
Dan Dilworth, Associate Analyst	Office of Policy & Mgmt., Grants to Towns (PILOTS, Pequot), Municipal Funds, Federal Funds
Meghan Green, Analyst I	Dept. of Administrative Services, State Personnel, Statewide Issues
Marcy Ritsick, Principal Analyst	Environment, Agriculture, Agriculture Experiment Station, Council on Environmental Quality, Comm. on Human Rights & Opportunities

Rob Wysock, Section Chief

Jonathan Palmer, Associate Analyst	Military, Corrections, Budget Information System
Phoenix Ronan, Principal Analyst	Dept. of Insurance, Criminal Justice, Judicial, Public Defender, Probate
Emily Shepard, Principal Analyst	Dept. of Social Services, Mental Health and Addiction Services, Psychiatric Security Review Board, Office of Early Childhood
Holly Williams, Associate Analyst	State Comptroller, Dept. of Social Services, Office of Health Care Advocate, State Employee Fringe Benefits, Workers' Compensation

Administrative Staff

Laurie L. Wysock, Sr. Executive Secretary
Theresa Kelly, Senior Legislative Secretary
Lisa Kiro, Staff Assistant/Fiscal Note Coordinator

Legislative Office Building, Room 5200, Hartford, CT 06106

Phone: (860) 240-0200

E-Mail: ofa@cga.ct.gov; Web: www.cga.ct.gov/ofa

I. Hearing Schedule

The attached information was developed by OFA staff members for the legislative members of the GO Bonding Subcommittee.

General Bonding Subcommittee Hearings on Tuesday, March 15, 2016

Time	Agency	Analyst	Page
10:00 - 10:30	Department of Administrative Services	Meghan Green	4
10:30 - 11:00	Department of Correction	Jonathan Palmer	6
11:00 - 11:30	Office of Early Childhood	Emily Shepard	7
11:30 - 12:00	Department of Children and Families	Rachel Della Pietra	9
12:00 - 12:30	Lunch		
12:30 - 1:00	Office of Policy and Management	Dan Dilworth	12
1:00 - 1:30	Department of Energy and Environmental Protection	Marcy Ritsick	13

II. Glossary of Bonding Terms

Authorized – The amount approved by the General Assembly for a specific project or program.

Allocated – The amount that has been approved by the State Bond Commission for a specific project or program. Allocated funds are available for expenditure by the agency, town or organization that has received the allocation.

Allotted – The amount approved by the State Bond Commission and specifically set aside to cover expenditures and encumbrances. The Office of Policy and Management allots funds.

Unallocated Balance – The amount that has been authorized by the General Assembly but has not yet been approved by the State Bond Commission. Unallocated funds are not available for expenditure.

Unallotted – The amount approved by the State Bond Commission but not yet allotted.

III. Agency Write-ups

Department of Administrative Services

OFA Analyst: Meghan Green

1. Update of Existing Agency Authorizations and Status Report

Please see Appendix A for an update of agency authorizations and status report.

2. Cancellations Recommended by Governor

The following cancellations have been recommended for this agency:

Project Reference	PA No.	PA Section No.	Governor's Recommended Cancellation \$	Unallocated \$ after 1/29 SBC
Infrastructure repairs and improvements, including fire, safety and compliance with the Americans with Disabilities Act improvements, improvements to state-owned buildings and grounds	PA 11-57	2(e)(3)	226,410	226,410
Infrastructure repairs and improvements, including fire, safety and compliance with the Americans with Disabilities Act improvements, improvements to state-owned buildings and grounds	PA 11-57	21(d)(2)	105,849	105,849
Infrastructure repairs and improvements, including fire, safety and compliance with the Americans with Disabilities Act improvements, improvements to state-owned buildings and grounds	PA 13-239	21(c)(2)	10,000,000	25,000,000
Land acquisition, construction, improvements, repairs and renovations at fire training schools	PA 14-98	2(f)(1)	10,000,000	15,777,672
Capital construction, improvements, repairs, renovations and land acquisition at fire training schools	PA 12-189	2(b)(2)	10,000,000	28,200,000
Infrastructure improvements including the engineering and construction of an offsite storm water improvement related to the construction of a new courthouse in Torrington.	PA 14-98	2(f)(3)	800,000	800,000

3. New Authorizations Recommended by Governor

The following new authorizations have been recommended for this agency:

Project Reference	Governor's Recommended Authorization \$
Alterations, renovations and improvements, including installation of air conditioning, development and demolition, to the State Office Building and associated parking facilities in Hartford	181,000,000

The State Office Building project was authorized in PA 11-57 with \$25.5 million. Approximately \$1.8 million of that authorization remains unallocated after the January 29, 2016 State Bond Commission meeting.

Department of Correction

OFA Analyst: Jonathan Palmer

1. Update of Existing Agency Authorizations and Status Report

Description	Total Authorization	Unallocated 1/29/16 \$	Allocated but Unallotted	Allotted but Unspent
Agency Projects and Programs				
Departmental facilities - Renovations and improvements to existing state-owned buildings for inmate housing, programming and staff training space and additional inmate capacity.	20,000,000	12,836,997	0	1,225,034

Agency Projects and Programs

Departmental facilities - Please see Appendix B for a prioritized list of departmental infrastructure projects totaling \$19.1 million. The remainder of the requested funds from new authorizations will be used to meet the agency's total capital project needs of approximately \$212.3 million for its 18 facilities.

2. Cancellations Recommended by Governor

No cancellations have been recommended for this agency.

3. New Authorizations Recommended by Governor

The following new authorizations have been recommended for this agency:

Project Reference	Governor's Recommended Authorization \$
Design and construction for replacement of the central heating and cooling plant and underground distribution system at York Correctional Institution in East Lyme. Estimated 3-year project.	60,000,000

Office of Early Childhood

OFA Analyst: Emily Shepard

1. Update of Existing Agency Authorizations and Status Report

No.	Description	Total Authorization	Unallocated 1/29/16 \$	Allocated but Unallotted	Allotted but Unspent
Grant-in-aid Programs					
1	Grants-in-aid to municipalities and non-profits for facility improvements and minor capital repairs	36,500,000	20,782,874	0	4,053,311
2	Grants-in-aid for minor capital improvements and wiring for technology for school readiness programs.	3,500,000	1,500,000	0	0
3	Smart Start Competitive Grant program	25,000,000	23,412,945	0	594,171

Grant-in-aid Programs

Grants-in-aid to sponsors of school readiness programs and state-funded day care centers for facility improvements and minor capital repairs – The funding supports grants to programs and day care centers for facility renovations and improvements including minor capital repairs. In SFY 13-15, the program had 115 applicants requesting approximately \$17.6 million. In SFY 14-16, the program had 108 applicants requesting approximately \$7.9 million. The State Bond Commission made two allocations totaling approximately \$7.6 million in 2015 for the program.

Interested applicants respond to competitive requests for proposal (RFPs) of which there have been two (SFY 13-15 and SFY14-16). Submitted proposals that pass basic eligibility criteria are evaluated by early care and education experts based on agency-developed evaluation criteria.

Grants-in-aid for minor capital improvements and wiring for technology for school readiness programs –These grants support upgrades to: (1) enable high-speed and secure communications (2) promote increased operational efficiencies and greater student learning outcomes. Examples include, but are not limited to: upgrades to network infrastructure in the facility through servers, switches, routers, and cabling that allow for high-speed and secure Internet access; wiring of common areas in support of wireless devices; wiring for smart boards and projection devices; and improvements necessary to establish compatibility with local public school's accountability systems

Interested applicants respond to competitive RFPs. The proposals are evaluated based on agency-developed criteria.

Smart Start Competitive Grant Program – The program provides grants-in-aid to reimburse local and regional boards of education for capital and operating expenses related to establishing or expanding preschool programs. The Smart Start funding will provide funding for 200 pre-school spaces.

2. Cancellations Recommended by Governor

The following cancellations have been recommended for this agency:

No.	Project Reference	PA No.	PA Section No.	Governor's Recommended Cancellation \$	Unallocated \$ after 1/29 SBC
1	Grants-in-aid for minor capital improvements and wiring for technology for school readiness programs	PA 07-7	32(h)(2)	1,500,000	1,500,000
2	Grants-in-aid to municipalities and non-profits for facility improvements	PA 12-189	9(e)(4)	69,618	69,618
2	Grants-in-aid to municipalities and non-profits for facility improvements	PA 13-239	32(g)(2)	10,000,000	15,000,000
3	Smart Start competitive grant program	PA 14-98	25	5,000,000	13,412,945

3. New Authorizations Recommended by Governor

No new authorizations have been recommended for this agency.

Department of Children and Families

OFA Analyst: Rachel Della Pietra

1. Update of Existing Agency Authorizations and Status Report

No.	Description	Total Authorization	Unallocated 1/29/16 \$	Allocated but Unallotted	Allotted but Unspent
Agency Projects and Programs					
1	Departmental Facilities.	12,456,611	6,070,470	0	0
2	Connecticut Children's Place, Dining hall and kitchen expansion.	750,000	587,000	0	0
3	Reimbursement for environmental remediation at the former Long Lane School in Middletown.	19,000,000	754,850	0	0
Grants-in-aid Programs					
4	Grants-in-aid for residential facilities, group homes, shelters and permanent family residences.	17,500,000	9,461,081	0	171,579
5	Grants-in-aid to Private nonprofit mental health clinics for children or children for fire, safety and environmental improvements.	3,000,000	520,363	0	0
6	Grants-in-aid to private non-profit organizations for construction or renovation for recreation or education.	20,000,000	10,049,000	0	2,500,000

Agency Projects and Programs

Departmental facilities – The funds are used for infrastructure improvements needed to maintain the agency’s facilities in compliance with Connecticut Life Safety Codes and its accreditation and certification with regulatory bodies to receive federal reimbursement and revenue. Please see Appendix E for a list of projects associated with these funds.

Connecticut Children's Place – The funds were intended to be used to expand the dining hall and kitchen in Albert J. Solnit Psychiatric Center – North Campus (formerly Connecticut Children’s Place). The agency estimates the total cost of the project at \$2.2 million. The project is on hold until additional bond funds are authorized however, the

agency continues to maintain, repair and improve areas as required to meet new OSHA, Health and Safety Requirements. The existing funds were to be returned last year as this project has been closed out.

Reimbursement for environmental remediation at the former Long Lane School in Middletown – The funds have been used to reimburse Wesleyan University for the cost of environmental remediation at the former state-owned Long Lane School property in Middletown. The reimbursement is required under the agreement between the state and Wesleyan. It was signed in 2000 when the University purchased the approximately 140 acres property for \$15 million. The DAS division of construction services has been responsible for administration of the bond fund account to make these reimbursements. DCF was notified by the DAS division of construction services that the work is still being performed, but Wesleyan University has not submitted a requisition for these funds. Nevertheless, the remaining balance is needed as reimbursement is required under the terms of the agreement and needs to be available for such purposes. The funding and oversight of this project is now under the control of DEEP, according to DCF.

Grants-in-aid for construction, alterations, repairs and improvements to residential facilities, group homes, shelters and permanent family residences – The funds will be used to address a backlog of major projects at facilities because access to bond funds has been restricted over the last few years, according to the agency. It is anticipated that some funds may be needed to prepare facilities to accept children returning from out-of-state placements.

Grants-in-aid to private nonprofit mental health clinics for children for fire, safety and environmental improvements, including expansion of an existing clinic – The funds support construction, alterations, repairs and improvements to residential facilities, group homes, shelters and permanent family residences.

Grants-in-aid to private, nonprofit organizations for construction or renovation for recreation or education– Though DCF does not have any grant-in-aid applications at this time, the agency requests that the funds remain because they are still needed to assist private, nonprofit community youth centers with neighborhood recreational or educational construction or renovation projects.

Subsequent to the DCF grant-in-aid application review process, applications are prioritized by a DCF Bond Fund Advisory Committee that is composed of members selected by the Commissioner or her designee. Proposals are evaluated and prioritized based on the following categories:

Priority 1. Fire, health and safety code compliance requirements.

Priority 2. Upgrading or additions to existing systems that are presently in compliance with fire, health and safety codes.

Priority 3. Essential renovations and improvements to prevent further deterioration of buildings.

Priority 4. Energy conservation.

2. Cancellations Recommended by Governor

The following cancellations have been recommended for this agency:

No.	Project Reference	PA No.	PA Section No.	Governor's Recommended Cancellation \$	Unallocated \$ after 1/29 SBC
1	Alterations, renovations and improvements to buildings and grounds	PA 11-57	2(n)	81,000	81,000
2	Connecticut Children's Place: Dining hall and kitchen expansion	SA 05-1	2(r)(3)	587,000	587,000
3	Reimbursement for environmental remediation at the former Long Lane School in Middletown, in accordance with public act 99-26	PA 07-7	21(o)(2)	754,850	754,850
6	Grants-in-aid to private, nonprofit organizations for construction or renovation for recreation or education purposes	PA 09-2	34(g)	8,000,000	10,049,000

3. New Authorizations Recommended by Governor

No new authorizations have been recommended for this agency.

Office of Policy and Management

OFA Analyst: Dan Dilworth

1. Update of Existing Agency Authorizations and Status Report

Please see Appendix C for an update of agency authorizations and status report.

2. Cancellations Recommended by Governor

The following cancellations have been recommended for this agency:

Project Reference	PA No.	PA Section No.	Governor's Recommended Cancellation \$	Unallocated \$ after 1/29 SBC
Responsible Growth Incentive Fund	PA 07-7	13(a)(2)	5,000,000	5,000,000
Inter-town Capital Equipment Purchase Incentive Program	PA 11-57	75	5,000,000	8,288,669
Grants-in-aid to municipalities for infrastructure projects (Sandy Hook)	PA 13-239	13(a)(2)	2,300,000	2,300,000

3. New Authorizations Recommended by Governor

The following new authorizations have been recommended for this agency:

Project Reference	Governor's Recommended Authorization \$
Transit-oriented development and predevelopment activities	8,000,000
Grants-in-aid to private, nonprofit health and human service organizations that are exempt under Section 501(c)(3) of the Internal Revenue Code of 1986, and that receive funds from the state to provide direct health or human services to state agency clients, for alterations, renovations, improvements, additions and new construction, including health, safety, compliance with the Americans with Disabilities Act and energy conservation improvements, information technology systems, technology for independence, purchase of vehicles and acquisition of property	15,000,000

Department of Energy and Environmental Protection

OFA Analyst: Marcy Ritsick

1. Update of Existing Agency Authorizations and Status Report

Please see Appendix D for an update of agency authorizations and status report.

2. Cancellations Recommended by Governor

The following cancellations have been recommended for this agency:

Project Reference	PA No.	PA Section No.	Governor's Recommended Cancellation \$	Unallocated \$ after 1/29 SBC
Grant-in-aid to the town of Windham, for a feasibility study of a whitewater park in Willimantic	SA 05-1	13(d)(17)	450,000	450,000
Grant-in-aid to the town of East Lyme, for the purchase of Oswegatchie Hills for open space	SA 05-1	13(d)(19)	1,800,000	1,800,000
Grant-in-aid to the town of Ledyard, for a water main extension	SA 05-1	13(d)(20)	1,000,000	1,000,000
Grant-in-aid to the town of MIDDLETOWN, for watershed management at Crystal Lake	SA 05-1	13(d)(25)	50,000	50,000
Grant-in-aid to the town of Cromwell, for improvements to parks and fields at Watrous Park, Cromwell middle and high schools and Pierson Park	SA 05-1	13(d)(29)	100,000	100,000
Grant-in-aid to the town of Lyme, for improvements to the Lyme-Old Lyme recreational fields	SA 05-1	32(d)(18)	150,000	150,000
Grant-in-aid to the city of Stamford, for the Holly Pond Tidal Restoration project	SA 05-1	32(d)(19)	500,000	500,000
Grant-in-aid to the town of Branford, for improvements to the football field at Branford High School	SA 05-1	32(d)(21)	150,000	150,000
Grant-in-aid to the town of Bristol for rehabilitation and renovation of Rockwell Park	SA 05-1	32(d)(39)	500,000	500,000
Grant-in-aid to Environmental Learning Center, Inc. for infrastructure projects at Indian Rock Nature Preserve in Bristol	PA 07-7	13(d)(15)	200,000	200,000

Project Reference	PA No.	PA Section No.	Governor's Recommended Cancellation \$	Unallocated \$ after 1/29 SBC
Grant-in-aid to the city of Manchester for development and construction of the Manchester to Bolton segment of the East Coast Greenway	PA 07-7	13(d)(25)	500,000	500,000
Grant-in-aid to the town of Portland for replacement of water mains	PA 07-7	13(d)(40)	1,000,000	1,000,000
Grants-in-aid for the Lakes Restoration Program, provided (A) up to \$ 87,805 shall be made available to Middlefield for Lake Beseck, and (B) up to \$ 200,000 shall be made available to East Lyme for Pattagans	PA 07-7	13(d)(5)	487,805	487,805
Grants-in-aid to municipalities for the Lakes Restoration Program	PA 07-7	32(d)(4)	200,000	200,000
Grants-in-aid to municipalities for the purpose of providing potable water	PA 07-7	32(d)(5)	2,258,707	2,258,707
Grants-in-aid, (1) for containment, removal or mitigation of identified hazardous waste disposal sites and to municipalities for new water mains to replace water supplied from contaminated wells, (2) for ide	PA 09-2	34(a)	11,350,820	11,350,820
The Energy efficiency fuel oil furnace and boiler replacement, upgrade and repair program	PA 11-1	49	5,000,000	5,000,000
For the purpose of implementing a buy-out program for homeowners or businesses that receive funding from the Federal Emergency Management Agency for flood hazard mitigation or property damage due to weather events in the calendar year 2011 and subsequent	PA 12-189	39	1,000,000	1,000,000
For a program to establish energy microgrids to support critical municipal infrastructure	PA 12-189	9(b)(2)	4,900,000	4,900,000
For a program to establish energy microgrids to support critical municipal infrastructure	PA 13-239	32(c)(5)	5,000,000	5,000,000
Grants-in-aid to municipalities, in consultation with the Office of Policy and Management, to encourage low impact design of green municipal infrastructure to reduce nonpoint source pollution	PA 15-1	13(d)(2)	10,000,000	20,000,000
Dam repairs, including state-owned dams	PA 13-239	2(g)(1)	62,252	62,252

3. New Authorizations Recommended by Governor

No new authorizations have been recommended for this agency.

Appendix A

Department of Administrative Services

Update of Agency Authorizations and Status Report

Appendix A
Department of Administrative Services
Update of Agency Authorizations

INFORMATION UPDATE FOR BOND AUTHORIZATIONS AVAILABLE FOR ALLOCATION DURING FY 2015-16							
Project Reference	Prior and FY 16 Authorizations	Unallocated Balance after Jan 29 SBC mtg.	Current Allocated but Unalloted	Current Alloted but Unspent	Current Status of Project(s)	Estimated Completion Date of Project(s)	Amount of Federal/Municipal matching funds
Department of Administrative Services					See Appendix A Status Update		
Agency Projects and Programs							
<u>Thermal facility</u> - Development, including acquisition and equipment, of a new thermal facility, including extension of the distribution plipeline, for the capitol area district heating and cooling system in Hartford. PA 13-239, Sec. 2(c)(2)	29,000,000	28,000,000	1,000,000	0			
<u>State Office Building</u> - Alterations, renovations and improvements, including installation of air conditioning, to the State Office Building and associated parking facilities in Hartford. PA 11-57, Secs. 2(e)(2) & 21(d)(1); PA 12- 189, Sec. 25; PA 13-239, Sec. 94; PA 14-98, Sec. 70	1,500,000	0	1,500,000	0			
	24,000,000	1,751,862	21,300,638	947,500			
<u>Infrastructure repairs and improvements</u> , including fire, safety and compliance with the Americans with Disabilities Act improvements, improvements to state-owned buildings and grounds, including energy conservation and off-site improvements, and preservation of unoccupied buildings and grounds, including office development, acquisition, renovations for additional parking and security improvements at state-occupied facilities. PA 11-57, Secs. 2(e)(3) & 21(d)(2); PA 12-189, Sec. 25; PA 13-239, Secs. 2(c)(3), 21(c)(2) & 94; PA 15-1, (ISS).	12,500,000	226,410	1,300,000	500,525			
	192,500,000	105,849	42,000,000	5,658,000			
	25,000,000	15,457,448	198,000	50,000			
	25,000,000	25,000,000	0	0			
	25,000,000	25,000,000	0	0			

Appendix A
Department of Administrative Services
Update of Agency Authorizations

	Prior and FY 16	Unallocated Balance					
Project Reference	Authorizations	after Jan 29 SBC mtg.	Current Allocated but Unalloted	Current Alloted but Unspent	Current Status of Project(s)	Estimated Completion Date of Project(s)	Amount of Federal/Municipal matching funds
<u>Asbestos Removal Program</u> - Removal or encapsulation of asbestos in state-owned buildings. SA 95-20, Secs. 2(c)(3) & 22(b)(3); SA 97-1, (J5 SS), Secs. 2(d)(1) & 21(d)(1); PA 99-242, Secs. 2(b)(2) & 21(b)(2); SA 01-2, (JSS), Secs. 2(b)(2) & 17(a)(2); PA 04-3, Sec. 2(a); SA 04-2, (MSS), Sec. 2(d)(1); SA 05-1, (JSS), Secs. 2(f)(1) & 21(d)(1); PA 07-7, (JSS), Secs. 2(g)(3) & 21(e)(1); PA 09-2, (SSS), Secs. 27(a) & 42(b); PA 11-57, Secs. 2(f)(1) & 21(e)(1); PA 12-189, Secs. 2(b)(1) & 26; PA 13-239, Secs. 2(c)(4) & 21(c)(3); PA 15-1, (JSS), Sec. 2(f)(4)	10,000,000	0	0	0			
	10,000,000	0	0	0			
	10,000,000	5,000,000	5,000,000	0			
<u>ADA improvements</u> - Alterations and improvements in compliance with the Americans with Disabilities Act, or for improved accessibility to state facilities. PA 13-239, Secs. 2(c)(1) & 21(c)(1)); PA 15-1, (JSS), Sec. 2(f)(1)	2,000,000	250,000	292,867	19,133			
	2,000,000	2,000,000	0	0			
	1,000,000	1,000,000	0	0			
Development of a supplier diversity data management system. PA 15-1, (JSS), Sec. 2(f)(1)	400,000	400,000	0	0			
<u>Health Insurance Portability and Accountability Act compliance</u> - Development and implementation of information technology systems for compliance with the Health Insurance Portability and Accountability Act (HIPAA). SA 02-1, (MSS), Secs. 2(b)(1)	6,310,500	0	0	2,380,730			
	6,310,500	2,652,975				Jun-17	

Appendix A
Department of Administrative Services
Update of Agency Authorizations

	Prior and FY 16	Unallocated Balance					
Project Reference	Authorizations	after Jan 29 SBC mtg.	Current Allocated but Unalloted	Current Alloted but Unspent	Current Status of Project(s)	Estimated Completion Date of Project(s)	Amount of Federal/Municipal matching funds
Acquisition and renovation of a building for the offices of the Probate Court. PA 14-98, Sec. 2(f)(2); PA 15-1, (JSS), Sec. 2(f)(6)	3,000,000	3,000,000	0	0			
	4,100,000	4,100,000	0	0			
Infrastructure improvements including the engineering and construction of an offsite storm water improvement related to the construction of a new courthouse in Torrington. PA 14-98, Sec. 2(f)(3)	800,000	800,000	0	0			
<u>Fire Training Schools</u> - Notwithstanding the provisions of section 4b-1 of the general statutes, capital construction, improvements, repairs, renovations and land acquisition at Fire Training Schools. SA 04-2, (MSS), Sec. 2(d)(3); SA 05-1, (JSS), Sec. 92; PA 07-7, (JSS), Secs. 2(g)(2) & 21(e)(3); PA 10-44, Sec. 218; PA 11-57, Sec. 21(e)(3); PA 12-189, Secs. 2(b)(2) & 26; PA 14-98 Sec. 2(f)(1); PA 15-1, (JSS), Sec. 2(f)(5)	10,000,000	0	120,465	6,550			
	8,000,000	0	135,000	4,060,000			
	8,000,000	595,327	832,822	6,568,000			
	28,200,000	28,200,000	0	0			
	15,777,672	15,777,672	0	0			
	10,000,000	10,000,000	0	0			
		*FY 17 \$3 million					

Appendix A
Department of Administrative Services
Update of Agency Authorizations

	Prior and FY 16	Unallocated Balance					
Project Reference	Authorizations	after Jan 29 SBC mtg.	Current Allocated but Unalloted	Current Alloted but Unspent	Current Status of Project(s)	Estimated Completion Date of Project(s)	Amount of Federal/Municipal matching funds
Grant-in-aid Programs							
Grants-in-aid to Alliance districts to assist in paying for general improvements to school buildings. PA 15-1, (JSS), Secs. 13(b) & 59	50,000,000	21,500,822	28,499,178	0			
<u>School building projects - Principal and current payments.</u> CGS Sec. 10-287d. PA 97-265, Sec. 81; PA 98-259, Sec. 7; PA 99-241, Sec. 7; PA 00-167, Sec. 60; PA 01-7, (JSS), Sec. 4; PA 02-5, (M9 SS), Sec. 9; PA 02-5, (M9 SS), Sec. 10; PA 03-2 (S8 SS), Sec. 20; PA 04-1, (MSS), Sec. 7; PA 05-5, (JSS), Sec. 6; PA 07-7, (JSS), Sec. 47; PA 08-169, Sec. 30; PA 09-3, (JSS), Sec. 127; PA 09-2, (SSS), Sec.3; PA 11-57, Sec 65; PA 13-239, Sec. 58; PA 15-1, (JSS), Sec. 60	6,573,875,000	651,200,000	133,215,422	0			
<u>School building projects - Interest subsidy.</u> CGS Sec. 10-292k. PA 90-297; PA 91-4, (JSS), Sec. 11; PA 92-7, Sec. 10; PA 93-1, (JSS), Sec. 10; PA 95-272, Sec. 8; PA 97-265, Sec. 93; PA 99-241, Sec. 8; PA 00-167, Sec. 61; PA 01-7, (JSS), Sec. 17; PA 02-5, (M9 SS), Sec. 10; PA 03-2 (S8 SS), Sec. 21; PA 04-1, (MSS), Sec. 6; PA 05-5, (JSS), Sec. 5; PA 07-7, (JSS), Sec. 48; PA 09-3, (JSS), Sec. 128; PA 09-2, (SSS), Sec.4; PA 11-57, Sec 66; PA 13-239, Sec. 59; PA 15-1, (JSS), Sec. 61	334,700,000	0	439,991	0			

Unallocated Bond Report
Department of Administrative Services
February 2016

Development, including acquisition and equipment, of a new thermal facility, including extension of the distribution pipeline, for the capitol area district heating and cooling system in Hartford (\$28,000,000 unallocated)

Background:

The Capitol Area District heating and Cooling System (CAS) is a state owned thermal-energy (heated and chilled water) transport system. The thermal plant (referred to in the map below as CEDECCA) itself is owned by the Canadian company Maxim Power Company.

Each year CAS purchases and delivers hot and chilled water to 18 public and private buildings totaling 3 million sq. ft. with over 150 million BTU's of thermal energy through 2 miles of pipeline. DAS manages the billing and day-to-day operations of the CAS. A map of the CAS is on the next page.

The costs to manage and maintain the CAS are **not** paid from the General Fund but rather from fixed amounts added to each customer's bill. These fixed fees cover:

- State personnel costs,
- Repair costs (emergency and planned),
- Engineering studies for the existing system operation,
- Engineering studies for future expansion and
- Upgrades and system enhancements to provide greater reliability and efficiency.

At present these fees represent less than 15% of the total bill. The fees are accessed through a revolving account.

Update:

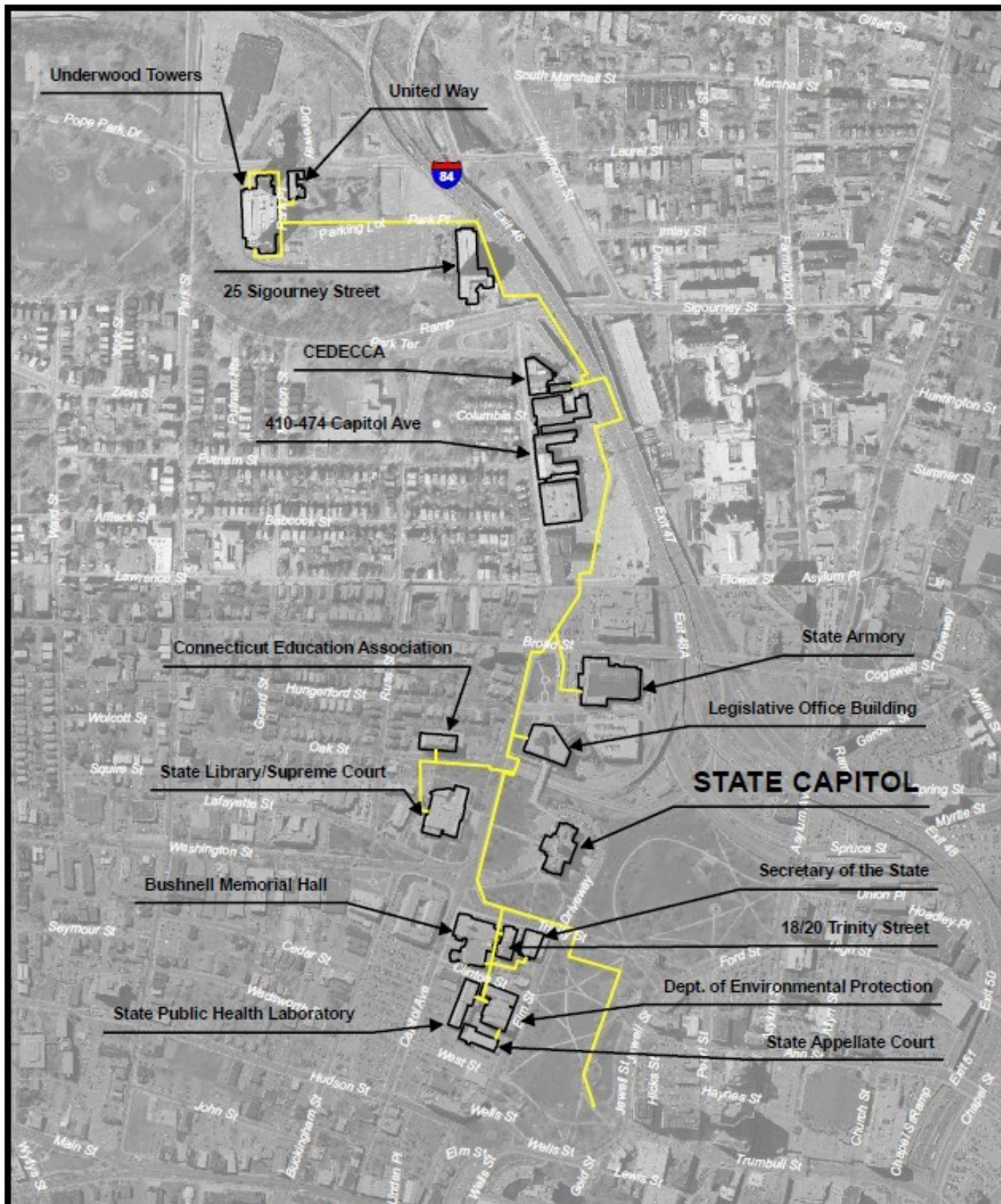
Maxim currently provides steam and chilled water to CAS for redistribution under a contract that expires in March of 2019. The State and Maxim entered into a Term III Contract Amendment this past year which revised some billing points but maintained the contractual end date of March 2019.

In order to reduce heating and cooling energy costs, DAS has explored the option of owning its own thermal energy plant. In order to avoid extending the contract with Maxim, such new plant would need to be fully operational before the March 2019 contract expiration date.

The State had engaged in discussions with Maxim about purchasing the thermal plant, however, efforts to purchase the thermal plant have halted due to New England ISO revisions to its pricing structure that have made it economically more beneficial for Maxim to continue plant operations. Maxim has now chosen to retain its US assets rather than sell them. CAS is therefore pursuing the alternative plan of building its own thermal plant.

CAS continues to coordinate with DOT regarding the I-84 Viaduct Project. The current conceptual project design plan show that the proposed highway structure would impact the existing thermal plant and DAS Pump House. DAS has released consultant advertisements for a New CAS Plant Feasibility Study as well a Design-Build Agreement for a new plant. The new plant feasibility study is underway and the consultant selection process is ongoing for the design-build project.

Additionally, at the Judicial Branch's request CAS has continued with the planning and design for an extension of the chilled water lines from Oak Street to the Superior Court building at 101 Lafayette Street, Hartford. It is anticipated that this will save Judicial from having to make a financial outlay for as new chiller and provide them the reliability and savings that CAS supplies. This project is expected to go out for bid in April-May 2016. The engineering firm of RMF, Inc. has been selected for the design of the expansion of the heated and chilled water pipelines to service the State Office Building and the Judicial Branch Facilities on Washington and Lafayette Streets. The contract has been approved by all the required approval bodies and the design process is underway.



STATE OF CONNECTICUT
DEPARTMENT OF CONSUMER PROTECTION
Office of Real Estate Analysis

For planning purposes only; not survey accurate.

Capitol Area District Heating & Cooling System

Sources: DPM, City of Hartford for Buildings;
2004 DOT Aerial.

Printed in color.

Prepared by: RAC

Version 1.0



State Plane Coordinate System of 1983, Zone 18N
Lambert Conformal Conic Projection
North arrow used of 1983



The Capitol Area System

Capital Avenue Area
Hartford, CT

March 15, 2016

Exterior renovations and improvements, including installation of air conditioning, to the State Office Building (SOB) in Hartford (\$22,000,000 unallocated)

Background:

The 2013 bond act updated the authorization language related to this project to include alterations to the entire building rather than just the exterior of the building. It also added the parking facilities to the project.

In 2014, the State selected an architectural firm to perform a pre-design study, including building and site programming, conceptual planning, project parameters, meetings with various stakeholders such as the Bushnell, I-Quilt, the City of Hartford and the neighborhood, and the local business community, for input on design and considerations.

The architectural firm's study was completed and delivered to DAS and the Office of Policy and Management in January 2015. The study included recommendations for improvements to the building inclusive of cost estimates.

Update:

In 2015, the architectural firm completed the schematic design phase and has progressed into the design development phase of their commission. It is on schedule to complete the design development phase and transition into the construction document phase of their commission prior to the close of this fiscal year. Expenditures to date the total expenditures associated with BI-2B-381 (State Office Building Renovations) are \$998,670 pre-design study and \$2,056,750 for architectural services.

DAS Infrastructure Repairs and Improvements

Unallocated Balances as of December 31, 2015.

Act Reference	Amount \$
PA 11-57, § 2(e)(3)	296,410
PA 11-57, § 21(d)(2) ¹	105,849
PA 13-239, § 2(c)(3)	19,985,128
PA 13-239, § 21(c)(2)	25,000,000
PA 15-1, § 2(f)(2)	25,000,000
TOTAL	120,360,387

The DAS Infrastructure Repairs and Improvements program provides for improvements to existing state buildings. Please see Appendix A for a list of planned

project allocations to be financed from the current available authorizations. This list is based on the DAS Facilities Improvement Plan 2016 to 2018, which has identified over \$200,000,000 in needed infrastructure improvements projects.

In addition, this fund includes monies provided for DAS to pursue the Hartford Building Acquisition project. The Hartford Building Acquisition Project refers to the acquisition, renovation and fit-out of properties at 50-55 Farmington Avenue, 450 Columbus Boulevard and the Morgan Street Parking Garage. All of the funds associated the Hartford Building Acquisition Project have been allocated.

Asbestos Program

This account provides funding on an as-needed, lump-sum basis for the state's on-going program to remove or encapsulate asbestos in state-owned buildings. The agency generally receives two allocations per fiscal year so that when it encounters asbestos in a building repair or renovation project, it can select a vendor from the list of pre-approved contractors to do the abatement.

Current and Pending Asbestos Abatement Program Projects

- Mystic Oral School - Durant (Demo)
- Mystic Oral School - Rainbow House
- DOC - New Haven CC Parking Garage
- DMHAS - Juvenile Detention Facility (Demo)
- DEEP - Seaside Main Hospital Building
- CCSU - Barnard Hall
- WCSU - White Hall
- WCSU - Berkshire Hall
- WCSU - Litchfield Hall (Asbestos Abatement)
- WCSU - Litchfield Hall (Demo)
- ECSU - Shafer Hall (Asbestos Abatement)
- ECSU - Shafer Hall (Demo)
- DDS - SOUTHBURY TRAINING SCHOOL, Thompson Hall
- DDS - SOUTHBURY TRAINING SCHOOL, Cottage 24
- DDS - SOUTHBURY TRAINING SCHOOL, Cottage 28
- DDS - SOUTHBURY TRAINING SCHOOL, Incinerator
- Vinal Technical High School
- SCSU - Morrill Hall
- DEEP - Clark Creek WMA Barn
- SCSU - Schwartz Hall

- DDS – 40 Shore Road Group Home
- Eli Whitney Technical High School
- 95 Washington Street Courthouse
- 92 Farmington Avenue
- DVA Domiciles (East A, B, C, D)
- New Haven Courthouse – 235 Church Street
- Bridgeport Courthouse – Golden Hill
- Norwalk Community College – Phase III
- Gross Technical High School
- DEEP Harkness Memorial Park – Carriage House
- Rocky Neck – Pavilion
- CCSU - Willard and Diloretto
- 10 Clinton Street
- Numerous minor-emergency abatement projects across various facilities
- Numerous hazmat surveys for DCS projects

Alterations and improvements in compliance with ADA (\$3,250,000 unallocated)

Background:

Connecticut has a legal responsibility to ensure that state facilities, programs and services under are compliant with the Americans with Disabilities Act (ADA).

In 2013 DAS asked executive branch agencies to conduct self-evaluations of their facilities, programs and services and provide DAS with information concerning practical barriers or obstacles to access of their facilities. Of the 37 agencies that replied, most reported substantial compliance with the ADA; however, it was clear that there is much room for improvement. A copy of the full report generated from that survey is available at: <http://das.ct.gov/images/1090/CTDASADAResults.pdf>

Update:

DAS contracted with an on-call consulting firm to work with state agencies to conduct self-evaluations and develop capital improvement project plans to improve accessibility accommodations at state facilities. In 2015, DAS expended over \$600,000 for the design and implementation of various improvements at state facilities.

A list of planned projects to be financed from the current unallocated ADA Compliance bond funds is attached as Appendix B. This list identifies over **\$3,170,000** in needed stand-alone ADA Compliance improvements projects.

HIPAA (Health Insurance Portability and Accountability Act) Compliance (\$2,652,975 unallocated)

The federal HIPAA Security Measures require the state to: (1) ensure the confidentiality, integrity, and availability of all electronic protected health information (“ePHI”) that it creates, receives, maintains, or transmits; (2) protect against any reasonably anticipated threats or hazards to the security or integrity of this information; (3) protect against any reasonably anticipated uses or disclosures of such information that are not permitted or required under law; and (4) ensure that the state workforce complies with these security measures. Failure to comply with the federal mandates exposes the state to liability should its systems be breached and ePHI is compromised, as well as stiff penalties outlined in federal law. Eleven agencies (DAS, DMHAS, DCF, DDS, UConn Health Center, DSS, DPH, DVA, TRB, UCONN, and DOC) are subject to HIPAA requirements.

The Bond Commission released funding to DAS for HIPAA compliance in the spring of 2013 for federally mandated, cyclical Risk Analysis on Covered Entities, Risk Analysis based IT security controls gap remediation, and continued federally-required HIPAA training and policy updates. Because HIPAA is a continuing federal mandate, compliance is an ongoing activity that is continually re-evaluated. As such it is important that unallocated HIPAA funds remain in place to enable the state to comply with federal laws as necessary controls are put in place and/or modified; as systems evolve or change and new systems come online; and as new state and Federal mandates are implemented.

The evolving need to maintain acceptable controls is most recently evidenced by the fact that four agencies – DSS, DMHAS, DVA, and DOC - are now operating or are in the process of implementing new, state of the art, Electronic Health Record systems. By moving to these newer systems, the State of Connecticut must now comply with Health and Human Services’ HITECH regulations.

DAS continues to work with OPM to release funds as needed. Please see Appendix C for a list of HIPAA projects.

Fire Training Schools

Appendix D summarizes the project location, costs, bond authorizations and status of the Fire Training Schools.

APPENDIX A

DAS Infrastructure Repairs and Improvements

Project Name	Design Cost \$	Design Date	Construction Cost \$
10 Franklin Sq., Caulk Windows	None	N/A	83,000
10 Franklin Sq., Replace Carpeting (2) phases	None	N/A	330,000
10 Franklin Sq., HVAC Improvements	100,000	TBD	1,000,000
110 Sherman St., Insulate Chilled Water Piping above Ceiling	None	N/A	66,000
110 Sherman St., New Carpeting	None	N/A	166,000
110 Sherman St., Paving	21,000	TBD	138,000
110 Sherman St., Repair & Repaint Water Damaged Walls	None	N/A	165,000
110 Sherman St., Replace Chiller and Condenser Unit	28,000	TBD	185,000
110 Sherman St., Replace Concrete Sidewalks and Entry Way	None	N/A	88,000
110 Sherman St., Exterior Bldg. Improvements	200,000	TBD	2,500,000
129 Lafayette St., Renovate into Office & Storage Space	1,050,000	TBD	9,960,000
1305 Memorial Blvd., Eastern States Expo Renov., MA	250,000	TBD	2,500,000
155 Morgan St., Build-Out for Commercial Store Fronts	245,000	TBD	1,625,000
155 Morgan St., Install/Upgrade Security System	180,000	TBD	1,200,000
170 Ridge Rd., Space Planning and Interior Improvements	400,000	TBD	2,500,000
18-20 Trinity St., Interior MEP Upgrades	25,000	TBD	165,000
18-20 Trinity St., Carpet Installation	None	N/A	110,000
18-20 Trinity St., Exterior Façade Improvements	500,000	TBD	6,237,000
18-20 Trinity St., Roof Repairs & Replacements	83,000	TBD	550,000
18-20 Trinity St., Exterior Improvements	None	N/A	88,000
18-20 Trinity St., Replace Concrete Entry and Steps	None	N/A	55,000
18-20 Trinity St., Replace Roof	100,000	TBD	660,000

18-20 Trinity St., Water Main Replacement	Funded	11/29/14	175,000
18-20/30 Trinity St., Misc. Interior Repairs	15,000	TBD	150,000
190 Rogers Ave., Aquaculture Roof Replacement	Funded	N/A	150,000
24 Wolcott Hill Rd., Carpet Replacement	None	N/A	164,000
24 Wolcott Hill Rd., Pave Parking Lot	66,000	TBD	550,000
24 Wolcott Hill Rd., Retrofit Parking Lot Lighting	23,000	TBD	154,000
24/38 Wolcott Hill Rd., Ext. Bldg. Façade Repairs, Window	1,100,000	4/27/12	7,000,000
25 Sigourney St., Ext. Garage & Ext. Repairs	Funded	3/16/10	950,000
25 Sigourney St., Plaza Repairs	Funded	12/10/10	3,427,400
Woodbine Parking Lot A, Pave Parking Lot	110,000	TBD	740,000
Woodbine Parking Lot A, Replace Parking Lot Lighting	None	N/A	100,000
30 Trinity St., Finish Building Management System	None	N/A	55,000
30 Trinity St., Pave Driveway Right-of-Way	None	N/A	77,000
30 Trinity St., Repaint Building Interior Office Areas	None	N/A	82,000
30 Trinity St., Replace Wrought Iron Fencing	None	N/A	82,000
30 Trinity St., Exterior Repairs to Window Wells	200,000	TBD	1,200,000
300 Corporate Place, Recaulk Building Exterior	None	N/A	84,000
300 Corporate Place, Replace 3 Boilers	77,000	TBD	583,000
300 Corporate Place, Construct Parking Lot	Funded	1/25/08	550,000
300 Corporate Place, Replace Roof & RTU's	150,000	TBD	1,500,000
38 Wolcott Hill Rd., Flooring Replacement	None	N/A	132,000
38 Wolcott Hill Rd., Replace Transformer	33,000	TBD	220,000
39 Woodland St., Carpet Replacement	None	N/A	330,000
39 Woodland St., Paint Mansion Exterior	None	N/A	110,000
39 Woodland St., Replace Annex Roof	None	N/A	220,000
39 Woodland St., Replace Boiler	None	N/A	88,000

39 Woodland St., Replace Roof	22,000	TBD	132,000
39 Woodland St., Masonry Repairs	25,000	TBD	165,000
39 Woodland St., Boiler Replacement & EMS Improvements	Funded	TBD	600,000
395 West Main St., Exterior Improvements	25,000	TBD	220,000
395 West Main St., Elevator Improvements	11,000	TBD	110,000
395 West Main St., HVAC/EMS Improvements	23,000	TBD	230,000
401 West Thames St., UNCAS, HVAC/EMS Updates	None	N/A	68,000
401 West Thames St., UNCAS, New A/C (TVCCA)	58,000	TBD	385,000
401 West Thames St., UNCAS, New Boiler (TVCCA)	13,000	TBD	82,500
401 West Thames St., UNCAS, Site Improvements	27,000	TBD	180,000
401 West Thames St., UNCAS, Paving	20,000	TBD	132,000
401 West Thames St., UNCAS, Masonry Repairs	165,000	TBD	1,100,000
401 West Thames St., UNCAS, Replace Power Feeds	None	N/A	88,000
401 West Thames St., UNCAS, Campbell Bldg., Interior Renov.	50,000	TBD	500,000
401 West Thames St., UNCAS, Campbell Bldg., HVAC	25,000	TBD	250,000
410 Capitol Ave., Recaulk and Seal Windows	39,000	TBD	330,000
410 Capitol Ave., Replace Cooling Tower	33,000	TBD	275,000
410 Capitol Ave., Elevator Upgrades	250,000	TBD	2,500,000
410 Capitol Ave., Restroom Renovations	Funded	1/29/15	1,900,000
410-450 Capitol Ave., Bldg. Exterior Upgrade	25,000	TBD	150,000
410-450 Capitol Ave., Bldg. Interior Upgrade	30,000	TBD	200,000
410-474 Capitol Ave., Design/Replace Transformers	Funded	2/29/08	300,000
Capitol Ave Complex, Brick Re-pointing & Waterproofing	28,000	TBD	220,000
Capitol Ave Complex, Exterior Building Repairs	None	N/A	55,000
Capitol Ave Complex, HVAC Upgrades	None	N/A	110,000
450 Capitol Ave., Replace Air Handling Units	39,000	TBD	275,000

450 Capitol Ave., Upgrade Computer Rm. and New Generator	149,000	TBD	990,000
450 Columbus Blvd., Interior Upgrade Elevators	None	N/A	80,000
450 Columbus Blvd., Blue Light Security System	30,000	TBD	200,000
450 Columbus Blvd., Replace Rear Sidewalks	None	N/A	100,000
460 Capitol Ave., Replace Roof @ 460 & 470	68,000	TBD	453,000
474 Capitol Ave., EMS Upgrade	10,000	TBD	50,000
474 Capitol Ave., Structural/Roof Repairs	Funded	2/24/11	1,125,000
505 Hudson St., Evaluate Condenser Water Piping Integrity	11,000	TBD	100,000
505 Hudson St., Replace Lower Garage Heated Ramp Roadway	16,000	TBD	105,000
505 Hudson St., Carpet Installation	None	N/A	544,000
505 Hudson St., Study to Replace Light Fixtures with LED	17,000	TBD	100,000
505 Hudson St., Replace Fire Alarm System	Funded	5/11/15	455,000
505 Hudson St., Replace HVAC & Interior Improvements	650,000	9/29/15	5,400,000
505 Hudson St., Replace Parking Lot	Funded	2/28/14	1,100,000
50 Farmington Ave., Repoint Masonry	45,000	TBD	300,000
50-55 Farmington Ave., Garage Sprinkler Repairs	Funded	5/11/15	2,400,000
50-55 Farmington Ave., Heat Exchange/Balancing/Drains	10,000	TBD	100,000
50-55 Farmington Ave., Lighting Upgrades	10,000	TBD	100,000
55 West Main St., HVAC/EMS Improvements	175,000	TBD	2,000,000
55 West Main St., Parking Garage Repairs	200,000	TBD	1,100,000
55 West Main St., Structural Repairs & Plaza Improvements	300,000	TBD	2,000,000
60 State St., New Fleet Garage, Trades Shops, & Storage	800,000	TBD	10,000,000
61 Woodland St., Air Handling Unit Replacement	174,000	TBD	1,155,000
61 Woodland St., Patio Replacement West Side and South Side	None	N/A	330,000
61 Woodland St., Renovate Ground & 1st Floor HVAC	50,000	TBD	330,000
61 Woodland St., Renovate Ground Floor Library	171,000	TBD	1,430,500

61 Woodland St., Repair Boiler Room Floor	22,000	TBD	242,000
61 Woodland St., Replace all Heating & Cooling Piping	330,000	TBD	2,530,000
61 Woodland St., Replace Perimeter Heat Units	110,000	TBD	1,210,000
61 Woodland St., Parking Lot Drainage Improvements	83,000	TBD	550,000
61 Woodland St., Upgrade DDC Controls	None	N/A	264,000
61 Woodland St., Window Replacement	275,000	TBD	1,650,000
61 Woodland St., Plaza Replacement	Funded	2/24/11	1,000,000
61 Woodland St., Replace Stone Coping at Roof	Funded	11/19/14	473,000
79 Elm St., Carpet Replacement	None	N/A	825,000
79 Elm St., Clean HVAC Ducts on all Floors	None	N/A	154,000
79 Elm St., Repair Canopy over Loading Dock	Funded	4/26/13	220,000
79 Elm St., Exterior Masonry Repair	Funded	8/15/15	4,950,000
79 Elm St., Roof Replacement	110,000	TBD	1,100,000
9 Windsor Ave., Bay Areas Floor Renovations	None	N/A	88,000
9 Windsor Ave., Replace Garage Doors	None	N/A	83,000
92 Farmington Ave., Upgrade Fire System	31,000	TBD	206,000
92 Farmington Ave., Exterior Façade Repairs	20,000	TBD	200,000
92 Farmington Ave., HVAC/Boiler Upgrade	10,000	TBD	100,000
990 Prospect Ave., Replace Generator	18,000	TBD	93,000
990 Prospect Ave., Replace Pergola	None	N/A	110,000
Cedarcrest Hospital, Renovations for Trades Shops	N/A	TBD	100,000
Cedarcrest Hospital, Underground Tank Removal	10,000	TBD	100,000
Statewide, Various Locations, Unanticipated Building Repairs	N/A	TBD	5,000,000
Statewide, Various Locations, Security Improvements	N/A	TBD	1,000,000
TOTAL	9,889,000		115,354,400

APPENDIX B

DAS ADA Projects

Project Name	Design Cost \$	Design Date	Construction Cost \$
410-470 Capitol Avenue Complex, Hartford; ADA Signage	NA	NA	15,000
410-470 Capitol Avenue Complex, Hartford; ADA Compliant Door Hardware	NA	NA	10,000
470 Capitol Avenue Complex, Hartford; Renovate Existing Ramp/Entrance	TBD	ADA Funds	25,000
505 Hudson St., Hartford; Elevators: Audible Indicators	NA	NA	TBD
61 Woodland St., Hartford: ADA Parking Improvements	Funded	9/12/14	350,000
Various State Facilities; ADA Improvements to Toilet Rooms	50,000	9/10/14	500,000
60 State St., Wethersfield Renovate 2 Public Restrooms	Funded	2/14/14	160,000
18/20 Trinity St., Hartford Install ADA Ramp @ Exterior	NA	NA	30,000
State Capitol Elevator Upgrades	TBD	TBD	2,000,000
39 Woodland St., Hartford; Install Power Assisted Doors	NA	NA	55,000
18-20 Trinity St., Hartford New ADA Entrance	NA	NA	12,000
TOTAL	50,000		3,157,000

APPENDIX C

HIPAA Compliance

Name	Description	Duration	Cost \$	Benefits	Resources	Agencies
Risk Analysis of Covered Entities	Professional Services and In-house staff. Remediation projects, prioritized selections depend upon results of completed Risk Assessments	1.5 yrs. Scheduled completion March 2016 (delayed from April 2015 due to market competition for available qualified resources)	1,146,300 Note: all remaining funds (approx. \$107,640) are encumbered	Cyclical federal mandate Provides road map for progress toward HIPAA Rule compliance	Professional Services: <ul style="list-style-type: none"> • 1 Security Compliance Mgr. (3600 hrs.) • 1 Security Project Mgr. (2700 hrs.), • 2 Technical Resources (3900 hrs.) Note: Several critical Common Controls were discovered to be deficient via Risk Assessment technical verification procedures. Resources were re-allocated in order to immediately address high priority findings.	DAS, DMHAS, DSS, DDS, DCF, UCHC, UCONN, DVA, DPH, DOC

HIPAA training and policy updates	Targeted training identified in prior Risk assessment finding. 2013 Omnibus requires update and creation of policies and documented processes that align with NIST SP800-37, Risk Management Framework	6 mos. Completed Jan 2014	140,000 Funds have been expended	Addresses HIPAA Rule (Privacy, Security, HITECH, Omnibus, etc.) documentation requirements. Establishes enterprise governance and Risk Management Framework for FISMA based federal regulatory environments	Third party training and Professional Services provided through SANS (http://www.sans.org/about/)	TRB, DAS, DMHAS, DSS, DDS, DCF, UCHC, UCONN, DVA, DPH, DOC
Make necessary improvements to the Enterprise Novell electronic Directory	Improve functionality for HIPAA customers Virtualize application for migration to the	2 mo. Completed July 2015	35,520	NIST-140-2 Compliant Reduces ongoing infrastructure maintenance costs	Professional Services 6 Virtual Machine licenses	DAS, DPH

(eDirectory) environment	Groton Data Center					
Enterprise Identity and Access Management (IAM) Program – Phase 1	<p>Design architecture to expand the Health Insurance Exchange for the Enterprise.</p> <p>Enterprise access management solution includes, but is not limited to federated identity and multi-factor authentication</p>	<p>1 yr.</p> <p>Completed</p>	1,200,000	Lays architectural groundwork to address HIPAA Security Rule, Access Control requirements as defined in NIST SP800-53.	<p>Professional Services:</p> <ul style="list-style-type: none"> • 1 Program Manager, • 5 Project Managers, • 10 Subject Matter Experts, • 2 Admin. Assistants <p>User account licenses</p>	State-wide
Enterprise Identity and Access Management	Implementation of enterprise access management solution including, but is	<p>1 yr.</p> <p>Not Started</p>	1,800,000	Implementatio n of HIPAA Security Rule compliant Access Controls	<p>Professional Services:</p> <ul style="list-style-type: none"> • 1 Program Manager, • 5 Project Managers, 	State-wide

Program – Phase 2	not limited to federated identity and multi-factor authentication			consistent with NIST SP800-53.	<ul style="list-style-type: none"> • 5 Technical Analysts, • 5 Business Analysts, • 2 Admin. Assistants User account licenses	
Enterprise Security Information and Event Management	Expand implementation of QRADAR SIEM solution to include HIPAA Covered Entities. Requires: Licenses; Appliances; Professional services. License fee based on log events/sec.	6 mo. In Progress	795,000	Establishes critical, required HIPAA Rule compliance with Information System Activity Review, Response and Reporting, and Audit Logs. Includes agency level event management, business and	Professional services - QRADAR Subject Matter Expert(s) Software Licenses Hardware - log file accumulators	DAS (will be provided by HIX), DMHAS, DSS (has SIEM already), DDS, DCF, UCHC, UCONN, DPH DVA, TRB (Can be configured with DAS)

	Implement mandated continuous monitoring controls including Data Loss Prevention and Change Management			technical requirements development.		
Remediation of Covered Entity agencies' highest priority HIPAA compliance deficiencies	Depends on results of Risk Analysis	Varies by project In Progress	4,552,180	Project prioritization is a collaborative process between Covered Entity and DAS/BEST	Varies by remediation project	DAS, DMHAS, DSS, DDS, DCF, UCHC, UCONN, DVA, DPH, DOC, TRB

Purpose/Location	\$ Already Allocated	2015 construction estimate	Actual Cost (per bids)	Statutory Authorization	Completion Date (estimated)	Comments/Status
Initial Study	200,000	***	200,000	SA 01-2	2002	Analysis of needs and development of template plan documents to be used for all locations
Fees relating to design, surveys, & remediation	1,129,748	***	1,129,748	SA 04-2 §2(d)(3)	2012	
New Haven	4,467,912	***	4,467,921	SA 04-2 §2(d)(3)	2011	Renovation of existing fire training school
Hartford/Windsor Locks	5,259,760	***	5,259,760	SA 04-2 §2(d)(3) PA 07-7 §2(g)(2)	2012	Renovation of existing fire training school
Fairfield	11,818,069	***	11,818,069	PA 07-7 §2(g)(2) PA 07-7 §21(e)(3)	2016	Design/Build Agreement with Consigli Construction Company, Inc. Project site work commenced in Feb. 2016.
Burrville/Torrington	0	12,500,000	13,186,400	PA 07-7 §21(e)(3) PA 12-189 §2(b)(2) PA 14-98 §2(f)(1)	2017	PDS Engineering & Construction selected. Design/Build agreement being finalized. Bond request submitted.
Eastern/Willimantic	500,000 - property acquisition 1,570,252 - soil remediation	15,000,000	17,171,312	SA 04-2 §2(d)(3) PA 07-7 §2(g)(2) PA 12-189 §2(b)(2) PA 14-98 §2(f)(1)	2018	Carlin Construction selected as the developer for the project. Design/Build agreement being finalized. Bond request submitted.
Valley/Beacon Falls	950,000 – property acquisition	12,500,000	14,075,118	SA 04-2 §2(d)(3) PA 12-189 §2(b)(2) PA 15-1 §2(f)(5)	2017	Bismark Construction Company was selected. Design/Build Agreement in process. Bond request to be submitted for March Bond Commission meeting.
Waterbury Area/Cheshire	0	12,500,000	14,150,000	PA 14-98 §2(f)(1) PA 15-1 §2(f)(5)	2018	Carlin Construction selected. Design/Build Agreement in process.

Total Authorized \$83,177,672 (including 3 million authorized in PA 15-1 §21(e)(4) and included in the proposed FY 17 budget adjustment)
 Total Cost \$84,478,571
Additional authorization needed \$1,300,899

Appendix B

Department of Corrections

List of Department Infrastructure Projects

Facility	Description	Additional Information	Cost \$
Willard Correctional Institution	Fence Repair BI-DC-522	The fence post are heaving out of the ground creating gaps and unstable fencing. Project will replace the posts. (6 month project)	450,000
Osborn Correctional Institution	Cell Door Repair BI-DC-533	Existing cell door operating mechanism are obsolete and parts are not available. Project is Bata site for the replacement /retrofit of door operating mechanism for the facility. (12 month project)	130,000
New Haven Correctional Center	Garage Refurbishment/ Repair BI-JA-462	Needed to maintain long term viability. Repair project is in design (24 month project)	2,200,000
Enfield Correctional Institution	Water Storage Tank BI-JA-467	Replace failing 150,000 gallon water system storage tank with 500,000 gallon tank. Project is in design. (24 Month Project)	1,200,000
Carl Robinson Correctional Institution	Roof Replacement BI-JA-470	Replace failing roof. Study completed next step is to fund design. (6 to 8 month project)	2,500,000
Enfield Correctional Institution	Roof Replacement BI-JA-470	Replace failing roof. Study completed next step is to fund design. (6 to 8 month project)	1,800,000
MacDougall Correctional Institution	Garage Refurbishment/ Repair BI-JA-469	Needed to maintain long term viability. Design documents being reviewed.(18 month project)	1,677,000
Multiple Facilities	Facility Door Controls	Replace obsolete/unsupported systems at various facilities (24 month project)	1,350,000
Multiple Facilities	Facility Body Alarm Systems	Replace obsolete/unsupported systems throughout the state (two per year)	500,000
Multiple Facilities	Facility Phone	Replace obsolete/unsupported	500,000

Facility	Description	Additional Information	Cost \$
	Systems Upgrade	systems throughout the state (two per year)	
Carl Robinson Correctional Institution	Communication Hub-House 9	Remediate lead and asbestos, replace roof, windows and repaint exterior (3 month project)	125,000
Osborn Correctional Institution	Replace Fire Alarm BI-JA-475	Replace obsolete / unsupported fire alarm system. Study completed moving to design. (12 month project)	650,000
York Correctional Institute	Camera Upgrade BI-DC-523	Add new cameras to enhance coverage (6 month project)	450,000
Cheshire Correctional Institution	Sewer Repair BI-JA-466	Sewer infiltration repair – Study completed on hold pending lawsuit. (18 month project)	1,967,000
York Correctional Institution	New Fence for N./S. Dorms	Install fence to enclose the north/south dormitories. (3 month project)	81,300
Enfield Correctional Institution	Fence Upgrade	Install new security fence (2 month project)	20,000
Cheshire Correctional Institution	Masonry Repair BI-JA-476	Study to develop master plan to address masonry issues of historic structures at the facility (24 to 36 month project)	2,500,000
York Correctional Institution	Natural Gas Expansion	Extend gas pipe line to York CI to eliminate the use of fuel oil in order to increase efficiency and decrease greenhouse gas emissions (18 month project)	980,000
		Total	19,080,300

Appendix C

Office of Policy and Management

Update of Agency Authorizations and Status Report

Appendix C
Office of Policy and Management
Update of Agency Authorizations

BOND AUTHORIZATIONS AVAILABLE FOR ALLOCATION DURING FY 2015-16							
	Prior and FY 16	Unallocated Balance					
Project Reference	Authorizations	after Jan 29 SBC mtg.	Current Allocated but Unallotted	Current Allotted but Unspent	Current Status of Project(s)	Estimated Completion Date of Project(s)	Amount of Federal/Municipal matching funds
Office of Policy and Management							
Agency Projects and Programs							
<u>Criminal Justice Information System (CJIS)</u> - Design and implementation of the Criminal Justice Information System (CJIS). PA 09-2, (SSS), Sec. 42(a)(1); PA 11-57, Secs. 2(c)(1) & 21(c)(1); PA 13-239, Secs. 2(a)(2) & 21(a)(2); PA 15-1, (JSS), Sec. 2(d)(2)	7,700,000	0	0	586,112	Ongoing. First production release on February 26, 2016.	Estimated completion of CISS Phase 1 is August 2017.	\$0 federal/municipal funds.
	4,720,000	0	0	120,290	Ongoing. First production release on February 26, 2016.	Estimated completion of CISS Phase 1 is August 2017.	\$0 federal/municipal funds.
	7,900,000	0	0	4,002,629	Ongoing. First production release on February 26, 2016.	Estimated completion of CISS Phase 1 is August 2017.	\$0 federal/municipal funds.
	5,500,000	0	0	2,936,528	Ongoing. First production release on February 26, 2016.	Estimated completion of CISS Phase 1 is August 2017.	\$0 federal/municipal funds.
	17,100,000	0	17,100,000	0	Ongoing. First production release on February 26, 2016.	Estimated completion of CISS Phase 1 is August 2017.	\$0 federal/municipal funds.
<u>CORE Results-based accountability</u> - Development and implementation of databases in the CORE financial system associated with results-based accountability. PA 09-2, (SSS), Sec. 42(a)(2); PA 13-239, Sec. 2(a)(5); PA 15-1, (JSS), Sec. 2(d)(1)	1,500,000	0	0	0	In implementation	Estimated completion of Core BI project is June 2018.	\$0 federal/municipal funds.
	5,000,000	0	0	2,217,882	In implementation	Estimated completion of Core BI project is June 2018.	\$0 federal/municipal funds.
	3,000,000	350,000	-	2,650,000	In implementation	Estimated completion of Core BI project is June 2018.	\$0 federal/municipal funds.
<u>CORE Higher education system consolidation</u> - Design and implementation of consolidation of	5,000,000	0	5,000,000	0	In implementation	December 31, 2016	\$0 federal/municipal funds.

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Office of Policy and Management
Update of Agency Authorizations

BOND AUTHORIZATIONS AVAILABLE FOR ALLOCATION DURING FY 2015-16							
	Prior and FY 16	Unallocated Balance					
Project Reference	Authorizations	after Jan 29 SBC mtg.	Current Allocated but Unalloted	Current Alloted but Unspent	Current Status of Project(s)	Estimated Completion Date of Project(s)	Amount of Federal/Municipal matching funds
higher education systems with the state's CORE system. PA 13-239, Secs. 2(a)(1) & 21(a)(1)	5,000,000	4,500,000	500,000	0	UConn-In implementation; BOR-Initial Planning	UConn December 31, 2016; BOR December 31, 2017	\$0 federal/ municipal funds.
Benchmarking systems - Design and implementation of state and local benchmarking systems, including technology development. PA 11-57, Secs. 2(c)(2) & 21(c)(2)	4,000,000	0	0	641,182	The Project is on schedule, with completion of Phase 3 anticipated in the first half of calendar year 2016; An agreement with the Contractor for work under Phase 4 of the project is anticipated to be finalized in March of 2016.	The Benchmarking Systems Project is estimated to be completed no later than December, 2017.	\$0 federal/ municipal funds.
	2,000,000	0	1,245,000	494,840	The Project is on schedule, with completion of Phase 3 anticipated in the first half of calendar year 2016; An agreement with the Contractor for work under Phase 4 of the project is anticipated to be finalized in March of 2016.	The Benchmarking Systems Project is estimated to be completed no later than December, 2017.	\$0 federal/ municipal funds.

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Office of Policy and Management
Update of Agency Authorizations

BOND AUTHORIZATIONS AVAILABLE FOR ALLOCATION DURING FY 2015-16							
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Development of a comprehensive statewide water plan. PA 15-1, (JSS), Sec. 2(d)(4)	500,000	0	0	470,000	MOU has been entered into with the New England Interstate Water Pollution Control Commission (NEIWPCC) in the amount of \$60,000 to assist with the selection of and oversight of a consultant and/or consultants to assist in the development of the Plan. To date NEIWPCC has developed and distributed a Request for Qualifications (RFQ). The RFQ has been released and applications are expected in by March 4, 2016. Applications will be screened and evaluated by NEIWPCC and an internal WPC RFQ Evaluating Team	To be delivered to General Assembly by January 1, 2018.	\$0 federal/municipal funds.
Grant-in-aid Programs							
Responsible Growth Incentive Fund. PA 07-7, (JSS), Secs. 13(a)(2) & 32(a)(2); ; PA 10-44, Sec. 320; PA 15-1, (JSS), Sec. 13(a)(2)	5,000,000	5,000,000	0	0	Will be used to fund projects under the Responsible Growth and TOD Grant Program. The RFA for this Grant program generated 45 applications totaling \$56,292,976. Applications are currently under review.	Variable	No federal funding. Municipal match may vary.

Appendix C
Office of Policy and Management
Update of Agency Authorizations

BOND AUTHORIZATIONS AVAILABLE FOR ALLOCATION DURING FY 2015-16							
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Project Reference	Authorizations	after Jan 29 SBC mtg.	Current Allocated but Unalloted	Current Alloted but Unspent	Current Status of Project(s)	Estimated Completion Date of Project(s)	Amount of Federal/Municipal matching funds
	5,000,000	5,000,000	0	0	Will be used to fund projects under the Responsible Growth and TOD Grant Program. The RFA for this Grant program generated 45 applications totaling \$56,292,976. Applications are currently under review.	Variable	No federal funding. Municipal match may vary.
<u>Transit-oriented predevelopment matching funds</u> -Transit-oriented development and predevelopment activities. PA 13-239, Sec. 2(a)(4); PA 14-98, Sec. 2(d); PA 15-1, (JSS), Sec. 2(d)(3)	1,000,000	0	0	0	The \$1 million was transferred via MOU to DECD, who entered into a contract with Local Initiatives Support Corporation (LISC) to establish a \$15 million TOD Pre-Development Loan Fund. CHFA also provided \$1 million. LISC is managing the TOD Fund and has signed papers for the first loan (which will be for a housing development in Hartford near a Fastrak station).		

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 Office of Policy and Management
 Update of Agency Authorizations

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	7,000,000	4,900,000	0	1,764,414	\$1.5M awarded for TOD Planning Grants. See Appendix F. A portion of the remainder will be used to fund projects under the Responsible Growth and TOD Grant Program. The RFA for this Grant program generated 45 applications totaling \$56,292,976. Applications are currently under review.	Variable	No federal funding. Municipal match may vary.
	8,000,000	8,000,000	0	0	\$1.5M awarded for TOD Planning Grants. See Appendix F. A portion of the remainder will be used to fund projects under the Responsible Growth and TOD Grant Program. The RFA for this Grant program generated 45 applications totaling \$56,292,976. Applications are currently under review.	Variable	No federal funding. Municipal match may vary.
<u>Nonprofit Collaboration Incentive Grant Program.</u> PA 09-2, (SSS), Sec. 25(b)	5,000,000	0	0	2,664,259	One grant closed, all other grants are active.	The grants have various end dates, with the latest end date being August 8, 2017.	\$0 federal/municipal funds.

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Office of Policy and Management
Update of Agency Authorizations

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	Prior and FY 16	Unallocated Balance					
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Nonprofit health and human service organization grants-in-aid - Grants-in-aid to private, nonprofit health and human service organizations that receive funds from the state to provide direct health or human services to state agency clients, for alterations, renovations, improvements, additions and new construction, including health, safety, compliance with the Americans with Disabilities Act and energy conservation improvements, information technology systems, technology for independence and purchase of vehicles and acquisition	20,000,000	0	0	5,995,567	98 projects continue to be on-going.	23 projects have been completed before the 1 year expiration date.	\$0 federal/ municipal funds.
	50,000,000	15,000,000	15,000,000	14,898,195	Award letters have been sent to the 34 providers regarding the 47 projects approved for this funding.	These projects will have a 1 year completion date and will vary when they are complete.	\$0 federal/ municipal funds.
	10,000,000	10,000,000	0	0			
Earmarked Grants-in-aid							
Bridgeport economic development projects, including grants for (1) revitalization of the Hollow Neighborhood; (2) a feasibility study for the Congress Street Plaza urban renewal area; (3) planning and implementation of the Upper Reservoir Avenue Corridor Revitalization Initiative Project; (4) the Black Rock Gateway project; (5) the Madison Avenue Gateway Revitalization streetscape project; and (6) the purchase of development rights at Veterans' Memorial Park. PA 10-44, Sec. 2(b); PA 10-1, JSS, Sec. 51	7,200,000	6,450,000	0	747,945	Funds are allocated as appropriate projects are requested by the municipality/legislative delegation	N/A	N/A

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Office of Policy and Management
Update of Agency Authorizations

BOND AUTHORIZATIONS AVAILABLE FOR ALLOCATION DURING FY 2015-16							
	Prior and FY 16	Unallocated Balance					
Project Reference	Authorizations	after Jan 29 SBC mtg.	Current Allocated but Unalloted	Current Alloted but Unspent	Current Status of Project(s)	Estimated Completion Date of Project(s)	Amount of Federal/Municipal matching funds
Hartford infrastructure projects and programs, including grants for (1) parking projects that will add to downtown parking capacity; (2) the revitalization of Pope Park; (3) a public safety complex and regional emergency management center; (4) improvements to the flood control system; and (5) a bridge over the Park River. PA 10-44, Sec. 10(a); PA 10-1, JSS, Sec. 53	10,600,000	6,100,000	0	4,500,000	Funds are allocated as appropriate projects are requested by the municipality/legislative delegation	N/A	N/A
Bridgeport infrastructure projects and programs, including grants (1) for design and construction of a flood control project in the northeast corner of the city; (2) for the design and construction of the Congress Street Bridge; (3) for day care, a community room and a playground at West End School; (4) for purchase and installation of a public safety video surveillance system; (5) to the Fairfield County Housing Partnership for land acquisition, design, development and construction of an independent living facility; (6) for purchase of a water taxi, construction of docks and construction of the Pleasure Beach retractable pedestrian bridge; (7) to the Bridgeport Port Authority for improvements to the Derecktor Shipyard, including remediation, dredging, bulkheading and construction of Phase 2 of the Derecktor Shipyard Economic Development Plan; (8) for repair and improvements on State Road 59 between the North Avenue and Capitol Avenue intersections, including median and sidewalk renovations; (9) for	27,700,000	22,500,000	0	2,688,326	Funds are allocated as appropriate projects are requested by the municipality/legislative delegation	N/A	N/A

Appendix C

Office of Policy and Management

Agency Authorizations Status Report

Agency Programs

Capital Equipment Purchase Fund (CEPF) – The Capital Equipment Purchase Fund (CEPF) is authorized by CGS Sec. 4a-9 and has been used for the purchase of equipment with a useful life of at least 5 years. It is financed through the sale of General Obligation bonds and administered by the Office of Policy and Management. The table below shows the amount of CEPF funding available to agencies in FY 16:

FY 16 Capital Equipment Purchase Fund Resources by Agency

State Agency	FY 16 \$
Office of the State Comptroller	400,000
Office of Government Accountability	212,000
Department of Revenue Services	2,000,000
Department of Veterans' Affairs	550,000
Department of Administrative Services	3,000,000
Department of Emergency Services and Public Protection	4,251,000
Military Department	200,000
Department of Consumer Protection	240,000
Department of Labor	99,000
Commission on Human Rights and Opportunities	58,000
Agricultural Experiment Station	200,000
Department of Energy and Environmental Protection	1,600,000
Department of Economic and Community Development	40,000
Department of Public Health	300,000
Office of the Chief Medical Examiner	250,000
Department of Developmental Services	1,800,000
Department of Mental Health and Addiction Services	2,000,000
Department of Social Services	3,000,000
Department of Correction	4,000,000
Department of Children and Families	2,000,000
Judicial Department	1,300,000
Public Defender Services Commission	800,000
Division of Criminal Justice	900,000
Department of Education	800,000
TOTAL	30,000,000

Information Technology Capital Investment Program – This program is a six-year plan to update and consolidate the state’s information technology (IT) infrastructure. Currently, state agencies use a variety of decentralized IT systems that are generally incompatible and outdated, which prevents the agencies from communicating efficiently and effectively with other agencies. The table below summarizes actual and projected allocations for those projects planned or approved through February, 2016.

IT Capital Investment Program Projected and Actual Allocations

Fiscal Year	Amount \$
2013	16,159,815
2014	35,496,455
2015	29,129,040
2016	93,096,066
2017	48,038,824
2018	895,700
TOTAL	222,815,900

See Appendix C-2 for a list of Information Technology Capital Investment Program projects.

Background: OPM evaluates agency IT projects based on the following priorities:

1. Make state government more user-friendly and efficient for citizens, businesses and municipalities when transacting business with the state, including areas related to obtaining permits, licenses, paying taxes or accessing services;
2. Make information about services and state government more available and easy to find on-line;
3. Implement efficient, modern business practices that result in clear and identifiable cost savings and service delivery improvements for state agencies;
4. Increase transparency for policy makers and the public regarding costs, effectiveness and service outcomes within and across state agencies;
5. Reduce the cost to the state for the implementation, use and management of technology systems through shared services, applications and hardware across agency boundaries and by other means;
6. Implement systems needed to support health-care reform, manage costs and improve outcomes related to the state's health and human service programs; and
7. Ensure the appropriate level of confidentiality, integrity and availability of the state's electronic or digital data information resources in order to provide an environment in which the state's user community can safely conduct state business.

Criminal Justice Information Sharing System (CJIS) – The funds will be used to continue development of the Connecticut Information Sharing System (CISS) in compliance with PA 08-01.

Background: CISS provides the ability to electronically share offender information within Connecticut's criminal justice community. CISS will take data input from criminal justice databases and make it searchable to law enforcement and justice officials with the proper security clearance and credentials. This information includes data capture of offender violations, as well as the retrieval of judicial, criminal offender, and DMV information. Data can be in text, audio, video, and graphical format. Connecticut's criminal justice community consists of eleven criminal justice agencies with over 23,000 staff members and utilizes fifty-two information systems to support its business needs. Approximately five hundred and eighty-three unique transactions are specified in CISS. Phase I will address forty-six of these.

The CISS environment will be accessible by three methods: the State of Connecticut's Public Safety Data Network, the State of Connecticut's internal network, and the CISS Community Portal. All three have multiple levels of security to ensure compliance with FBI CJIS Security Policy 5.4.

Project Update: The State submitted its request to the FBI for CJIS to be deemed a non-criminal justice agency that can receive and transmit FBI data. The request to the FBI included a Management Control Agreement developed by the CJIS Steering Group identifying CJIS as a non-criminal justice agency and the CSO as the officer charged with controlling and monitoring FBI data within the CISS application. Also, and significantly, the State included a Statement of Understanding outlining CISS' governing principles. The FBI approved the CISS application in June, 2015. This is a significant accomplishment which will allow the CISS project to meet the envisioned value to its stakeholders.

From the beginning of 2015, CJIS has made significant progress with the CISS project including the FBI's approval of the CISS Overview Document and its Statement of Understanding (SOU) outlining CISS' governing principles. CJIS is now actively working with Xerox and the stakeholder agencies on all nine CISS Search and Workflow Releases with significant progress.

The Racial Profiling Project, the Offender Based Tracking System (OBTS) and the Connecticut Impaired Driver Records Information System (CIDRIS) are in production and maintenance mode. OBTS and CIDRIS will be replaced by functionality in the new CISS system.

Search Release 1, which consists of Paperless Re-Arrest Warrant Network (PRAWN), Offender Based Information System (OBIS), and Portal User Interface, has undergone System Test and User Acceptance Testing (UAT), with Production scheduled for February 26, 2016. Concurrently, Workflow Release 2 (Uniform Arrest Report (UAR) and Misdemeanor Summons Notices) is also in System Test, and is expected to go in to production in the first quarter of 2016. Change Control #62, which is a re-alignment of the release schedule, has been instituted. The change control will support the extension of the schedule for Release 4, which is Requirements Gathering, without changing the overall project date.

The CJIS Help Desk has been working on the infrastructure of the Learning Management System (LMS) that will provide training and assistance with user provisioning and CISS certification. Training will begin just prior to deployment of Release 1. The development of the

CISS User Authorization Request (CUAR) will facilitate user training and Global Federated Identity and Privilege Management (GFIPM) search capabilities for all users.

Progress was made on local police department connectivity, with 81 police departments having purchased routers for the CISS project. The routers will connect their Records Management Systems (RMS) and access for search capabilities to CISS. Of the 81 departments, 69 departments are connected to the state network. There are 22 police departments selected to connect to CISS for Search Release 1.

CJIS had worked with the Department of Administrative Services (DAS) on a contract with KT International, Inc. and the Capitol Region Council of Governments (CRCOG) in regard to CRCOG member agencies' shared RMS system (CT: CHIEF) connecting to CISS. This connection will allow CT: CHIEF to send the Uniform Arrest Reports and attachments for the CISS workflows. This contract was signed and work is continuing to connect the two systems.

CJIS is also working with Accucom (formerly known as Hunt) as the second RMS vendor to connect to CISS. This connection will also allow Accucom to send Uniform Arrest Reports and attachments for the CISS workflows. The purpose is to connect all RMS vendors in the state to CISS so that the current paper-based workflows are replaced over time by the new CISS electronic workflows.

The Governing Board also approved that CJIS enter into a Memorandum of Understanding (MOU) between CRCOG and the Wethersfield Police Department to host the CT: CHIEF Pilot Program within the CJIS environment.

The CJIS Executive Director position was vacated in March, 2015. A Search Committee was formed, which prepared and posted notices of the vacancy, received applications, and identified and interviewed viable candidates for the CJIS Executive Director position. It is anticipated that a decision will be made soon.

In July 2015, the CJIS Governing Board entered into a MOU between the Office of Policy and Management (OPM) and the Department of Emergency Services and Public Protection (DESPP) regarding the provision of administrative support to the Governing Board. The Administrative MOU transferred the management of human resources including payroll, benefits, purchase orders and invoices from OPM to DESPP.

In November 2015, the Governing Board approved CJIS moving forward with an Operational MOU between OPM, DESPP, and the CJIS Governing Board regarding the authority, roles, responsibilities, design, implementation, operation and maintenance of CJIS in support of C.G.S. Sec. 54-142s. The Operational MOU creates efficiency in the delivery of a wide range of criminal justice systems while retaining autonomy and authority of the Governing Board.

Qualis, the health check vendor for the CJIS project, has produced two health check reports in the last six months. These reports, which were compiled in September and December, are the culmination of surveys and on-site interviews with agencies, the CJIS Project Management Office (PMO) and Xerox for the purpose of offering an objective assessment of how well the project is performing.

The quarterly Health Check Report released in September reflected a slight drop in scores for the Stakeholder Agencies and Xerox, while the CJIS Project Management Office (PMO) score reflected a slight increase. The overall project scores for that quarter decreased from 2.69 to 2.68. Although not statistically significant, the scores were reported as surprisingly stable for a project of such magnitude.

See Appendix C-3 for a diagram that shows CISS databases and the governmental agencies that will use the system.

CORE Results-Based Accountability – The funds will be used to finance the implementation of new web-based business intelligence (BI) software that provides advanced analytic and reporting capabilities. The BI software being developed within Core-CT also provides capabilities for data modeling, trend analysis and forecasting, which enhance transparency and accountability in State budgeting and spending. The goal of this initiative is for the BI tool to become the central repository of human resource, payroll, and financial information in Core-CT. The idea is that this new analytical and reporting system will eventually replace the current Core-CT EPM query tool.

Project Update: The ongoing project is in its second phase. This phase will introduce the BI tool to state agencies that currently utilize the project costing module in Core-CT. The analytics being rolled out in phase 2 include project analytics (Project Costing and Customer Contracts) and supply chain analytics (Inventory) and will provide agencies with project-based metrics, alerts, dashboards, and reports. This will allow agencies to monitor and analyze important project-based data and make timely, informed decisions at all levels of the organization. The funds also support change management that includes job aids and training for end users.

Future milestones for the project include a rollout of external systems (e.g., non-Core-CT data) integration with BI and a statewide rollout of BI to all executive branch agencies by May 2017.

CORE Higher Education System Consolidation – CORE-CT is the State's Human Resources Management System that provides integrated human resources, payroll, and financial functions for most state agencies. The Board of Regents for Higher Education (BOR) and the University of Connecticut (UConn) currently only use CORE-CT for some functions; this bond authorization is for the integration of BHE and UConn Human Resource Management Systems into CORE-CT.

In regard to the \$10 million amount, \$5 million continues to be for the purpose of funding the initial examination, planning expenses and implementation expenses necessary for UConn and the Board of Regents to utilize the Core-CT system as per section 22 of PA 11-48 and CGS 10a-9b. As a first step the Board of Regents successfully transitioned coding from old accounts used before their reorganization to new accounts that represent the new organization. The next phase will focus on analysis needed to convert their systems to CORE-CT, beginning with a business process analysis, fit-gap analysis and then software conversion work.

The remaining \$5 million is to be utilized to bring the University of Connecticut fully into Core-CT related to their human resources and payroll systems and to ensure the reporting of the university's expenditures in Core-CT. Implementation work is currently underway, with an implementation date of July 1, 2016 for the University to use Core-CT as its human resources and payroll system.

Benchmarking Systems – This project is for the development of state and local benchmarking systems for municipalities and school districts. The development of a Uniform Chart of Accounts (UCOA)¹ to standardize the classification and reporting of financial data among all municipalities and school districts will facilitate these benchmarking systems. Municipal and education financial data transmitted to the State by municipalities and school districts in accordance with the UCOA will be retained in their respective municipal and education data warehouses allowing for more accurate comparisons and benchmarking of the costs of programs and services provided by these educational entities and municipalities. OPM is working with the State Department of Education (SDE), an external consultant, municipalities, and school districts to develop the benchmarking systems.

Background: PA 12-116, The Education Reform Act, authorizes SDE to develop and implement a chart of accounts to be used at the school and district level. For the fiscal year ending June 30, 2015, and each subsequent fiscal year, local boards of education are to implement a uniform system of accounting by completing and filing annual financial reports with the SDE using the chart of accounts that was developed with these bond funds. Similarly, PA 13-247 authorizes OPM to develop and implement a UCOA to be used at the municipal level. Municipalities are to implement a uniform system of accounting by using the UCOA to complete and file annual financial reports with OPM by June 30, 2015.

The benchmarking project to date is comprised of the following four phases:

Phase 1. Received a State Bond Commission (SBC) allocation of \$450,000 in December 2012 and the work for this phase was completed in March 2014. Under Phase 1, OPM and SDE worked with municipalities, local boards of education, the Connecticut Conference of Municipalities, the Council of Small Towns and other municipal and education stakeholders to develop the UCOA and its related education and municipal accounting manuals that provide guidance on reporting in accordance with the UCOA.

OPM's consultant for the project, Blum Shapiro, was directed to identify a mechanism that would meet the State's municipal and education financial information benchmarking needs without significantly altering the underlying financial accounting systems of municipalities and local boards of education, from which such information would ultimately be derived. The mapping approach was determined to be the best approach that would meet this objective.

¹A chart of accounts is a table for an accounting system that contains the numerical codes with the explanations that identify the ledger accounts.

Phase 2. Received a SBC allocation of \$1.8 million in February 2014. This phase of the project primarily focused on software development for the benchmarking system, which included designing and developing the mapping tool for capturing the financial information. This mapping tool is used to report and capture both the municipal and education financial data that currently resides in the underlying financial accounting systems of municipalities and local boards of education.

Sensitive education data will continue to be captured, stored and reported in SDE EdSight database to ensure that student data is securely maintained, including certain nonfinancial education data obtained through web based forms to be developed by Blum Shapiro. SDE will maintain its own database for the school financial data reported by educational entities and will benchmark both the financial and nonfinancial school data.

Phase 2 included a PILOT program overseen by SDE, whereby SDE initiated tests for capturing information and reporting under the PILOT Program. SDE and Blum Shapiro worked with ten educational entities to test and map information from their local financial accounting systems to the education UCOA at a relatively detailed level in order to identify and resolve any issues that may occur when mapping on a more large scale basis. For the municipal data, there was no PILOT program to test the data. Mapping and benchmarking is being done on a phased stage by stage basis, whereby municipal financial data will be mapped and benchmarked in the initial stage at a high-level, to be followed by mapping and benchmarking at more detailed information levels in subsequent stages. The initial municipal mapping began in calendar year 2014, with the mapping of municipal financial information at a high level, including expenditures by functions. This high-level approach is considered low risk and tantamount to a PILOT. OPM and Blum Shapiro worked with those municipalities whose financial accounting systems did not allow for high-level mapping in order to manually capture their financial data.

Phase 3. Received a SBC allocation of \$2.5 million in March of 2015 for work under this phase of the project. Blum Shapiro, having worked with SDE to successfully resolve the issues encountered in the PILOT program under Phase 2, mapped the FY 2013-14 education financial information obtained from the local accounting systems of each school district to the education UCOA by school location at a relatively detailed level during calendar year 2015.

Having completed high-level mapping of FY 2012-13 municipal financial data to the municipal UCOA in Phase 2, Blum Shapiro for Phase 3 conducted more detailed mapping of FY 2013-14 municipal financial data from their local financial accounting systems to the UCOA in calendar year 2015. The Phase 2 high level mapping that was previously conducted, included the mapping of municipal expenditures from the local chart of accounts of municipalities to the UCOA by municipal functions (general government, public safety, public works, etc.). In Phase 3 expenditure mapping occurred at a more detailed level with the mapping of departmental groupings within their respective UCOA municipal functions (i.e. public safety function – police department, fire department, etc.). In addition, salaries and employee benefits identified from the local accounting systems of municipalities were mapped to their UCOA major objects counterpart in the UCOA.

For those municipalities and educational entities whose financial accounting systems did not allow for mapping, Blum Shapiro worked with these entities to complete and submit data entry forms previously developed by Blum Shapiro to allow for manual data entries of the financial information.

OPM and Blum Shapiro worked to develop an interactive reporting and benchmarking tool that will provide revenue and expenditure information from each town, and allow geographical and population-based groupings of municipalities based upon the mapping and manual entries made of the FY 2013-14 municipal financial information. Selected non-financial information regarding each town will also be provided to allow for comparisons. OPM plans to use this data for its own reporting and to make the underlying data available for municipalities, researchers and citizens to do their own analysis. The tool is expected to be available on a dedicated website in the first half of calendar year 2016.

Blum Shapiro will provide training to selected personnel from each town on using the mapping tool to transmit financial information from their accounting systems to the mapping tool and to conduct the mapping of their local chart of accounts to the UCOA on an annual basis, as necessary. This training is to begin in March of calendar year 2016.

Phase 4. Received a SBC allocation of \$1,245,000 in January of 2016 for the anticipated completion of the State and Local Benchmarking Systems project. Work for this phase of the project will begin in the first quarter of calendar year 2016. For Phase 4, Blum Shapiro, as directed by SDE, will meet with each school district to obtain feedback on the FY 2014 financial data that was mapped and provided to each educational entity for review under Phase 3. Blum Shapiro will work with each educational entity who have indicated the need for corrections to their respective maps. Once reviewed and corrected (if necessary) the mapped education financial information will be uploaded in the mapping tool and available to SDE to transmit into the SDE data warehouse. Blum Shapiro will also collect and map FY 2015 financial information from each educational entity by school location in a similar manner as the collecting and mapping of the FY 2014 financial information that occurred under Phase 3. Blum Shapiro shall also provide training of school district personnel on electronically submitting their financial information into the mapping tool and on the mapping of their financial data in order to report in accordance with the UCOA.

In this phase, OPM and Blum Shapiro will work with municipalities to allow them to access and use the mapping tool to transmit their financial data from their underlying accounting systems and to map their local chart of accounts data to the UCOA as necessary. Municipalities having undergone training on using the mapping tool for uploading and mapping their financial data will be requested to map their financial information to the UCOA. With the mapping that occurred previously in phases 2 and 3, it is anticipated that there will be less of an effort needed on the part of municipalities whose local chart of accounts have been previously mapped to the UCOA as opposed to municipalities whose data were never mapped. OPM will

also direct Blum Shapiro to assist municipalities that encounter difficulties with mapping their financial data or whose data cannot be mapped.

The State and Local Benchmarking System will continue to be maintained by Blum Shapiro and the Consultant will update the system for FY 2015 financial information mapped or transmitted through manual data entry.

Urban Act – Urban Action Grants are discretionary grants to municipalities that (1) are economically distressed as defined by statute, (2) public investment communities or (3) urban centers under the State's Plan of Conservation and Development. Eligible projects include economic development, transit, recreation, solid waste disposal, housing, day care, elderly centers, emergency shelters, historic preservation and various urban development projects.

Small Town Economic Assistance Program (STEAP) – STEAP funds economic development, community conservation and quality of life projects for localities that are ineligible to receive Urban Action bonds. Localities may receive up to \$500,000 per year if: (1) they are not designated as a distressed municipality or a public investment community and (2) the State Plan of Conservation and Development does not show them as having a regional center.

See Appendix C-3 for grant-in-aid awarded in FY 15.

Local Capital Improvement Program (LoCIP) – LoCIP is an entitlement program for municipalities that provides General Obligation bond funds for eligible projects, as defined in statute. Annual distributions of new funds are deposited to municipal accounts administered by the Office of Policy and Management (OPM) on March 1st of each year. Towns may choose to expend the funds on a series of smaller projects or allow them to accumulate over a period of time for one large project.

Grants-in-aid to Towns for Municipal Projects and Purposes – PA 15-1 June Special Session requires these funds to be expended for the same purposes for which Town Aid Road grants may be used. However, PA 15-1 June Special Session also allows towns to apply for a waiver from this requirement, and use these funds (and Town Aid Road grants) for other capital purposes. Eight municipalities, one borough and three fire districts requested and were granted this waiver in FY 16.

See Appendix C-4 for the town-by-town list of FY 16 and FY 17 grants-in-aid.

Intertown Capital Equipment (ICE) Purchasing Incentive Program – This program was established by PA 11-57 to allow groups of two or more towns to apply for grants of a percentage of the cost of certain shared equipment or vehicles. The program was amended by Public Act 15-170 to increase the percentage of funding and the maximum grant award. Each grant shall be either (1) 60% per cent of the total acquisition cost of such equipment or vehicle, or (2) 80%, if one of the towns is a Distressed Municipality, Public Investment Community (PIC), or Targeted Investment Community (TIC), to a maximum of \$375,000.

See Appendix C-5 for a list of grants-in-aid funded to date under this program.

Comprehensive Statewide Water Plan - Public Act 14-163 requires the Water Planning Council (WPC) to produce a Comprehensive Statewide Water Plan (Plan) for presentation to the Connecticut General Assembly by January 1, 2018. Section 4 of this Public Act makes OPM the contracting agent for the WPC. Last year's Bond Bill provided \$ 500,000 in FY 2016 and \$500,000 in FY 2017 to hire consultants to help develop the Plan.

Responsible Growth Incentive Fund – Section 13(a)(2) of PA 07-7 (June Special Session) established the Responsible Growth Incentive Fund for the purpose of providing grants-in-aid and other financing for regionally-supported local projects that leverage past and future planned state investments in infrastructure and facilities, particularly for projects that promote compact, mixed-use development around public transportation stations and municipal centers. OPM plans to use funding from Section 13(a)(2) of PA 07-7 (June Special Session) and Section 13(a)(2) of Public Act 15-1 (June Special Session), in conjunction with the transit-oriented development (TOD) and pre-development funds, to award grants under the Responsible Growth and TOD Grant Program. The RFA for this grant program resulted in 45 applications totaling \$56,292,976. Applications are currently under review.

Transit-oriented Development and Predevelopment Activities – The funds will be used to provide assistance to municipalities, housing authorities and other entities interested in advancing transit-oriented development around the state's transit corridors (New Haven-Hartford-Springfield Rail, Shoreline East, New Haven Line and Branch Lines, and CT Fastrak²), as well as to make housing and infrastructure improvements near transit stations. The uses may include:

- Predevelopment activities, such as environmental inspections, geotechnical studies, market studies, architectural and engineering design, real estate appraisals, and associated legal services.
- Providing assistance for site control and/or acquisition, or to carry out housing or infrastructure improvement projects and other needs identified in communities along the state's transit systems.

OPM has indicated that it will administer the program and coordinate all transit-oriented development (TOD) activities among the various state agencies. OPM will determine the unmet need for TOD in communities along the state's transit corridors by speaking with municipalities, developers and other interested parties and using this information to establish: (1) eligibility criteria for applicants and projects, (2) a cap on the amount of funding and (3) the process by which applications will be evaluated and prioritized.

²CT Fastrak is the 9.4-mile bus rapid transit system between New Britain and Hartford. Construction began in May 2012. It is scheduled to open for passenger service in early 2015.

Background: The State Bond Commission allocated \$1 million in March 2014 to create the Transit-oriented Development Predevelopment Fund. The fund is managed by the Local Initiative Support Corporation, which is a national nonprofit that helps provide financial, policy and management assistance for economic and community development initiatives. The fund is intended to leverage \$13 million in non-state funds, in addition to \$1 million from the Connecticut Housing Finance Authority. Most recently, OPM issued an RFA for TOD planning grants, and awarded \$1.5 million to 11 communities across the state.

See Appendix C-6 for a list of FY 15 TOD planning grants funded through Section 2(d) of Public Act 14-98.

Applications are currently under review for the Responsible Growth and TOD Grant Program using remaining funds from Section 2(d) of Public Act 14-98, and Section 2(d)(3) of Public Act 15-1, in conjunction with responsible growth incentive funds. The RFA for this program resulted in 45 application totaling \$56,292,976.

Nonprofit Collaboration Incentive Grant Program. C.G.S. 4-124v established a Nonprofit Collaboration Incentive Grant Program. The purpose of the program is to provide grants for one-time infrastructure costs to implement consolidations of program and services resulting from the collaboration of two or more nonprofit organizations. The goal of the program is to improve efficiency and effectiveness of services through collaborative efforts between and among nonprofit providers providing services in Connecticut. Project areas include: facility improvements, including energy conservation projects, equipment, transportation, and technology projects.

See Appendix C-7 for a list of projects that have been funded to date under this program.

Grants-in-aid to Private, Non-profit Health and Human Service Organizations – The funds are used for grants to private, non-profit health and human service organizations to expedite service delivery and to address health, safety and accessibility issues with respect to health and human services provided by nonprofit providers.

The non-profit grant program is administered in accordance with Section 13 of Public Act 13-239, which allocated \$20 million and then was amended by Section 87 of Public Act 14-98 to allocate an additional \$50 million. The non-profit grant program is to be funded by proceeds from State General Obligation Bonds.

Below is a list types of projects types that were accepted:

- Facility alterations, renovations, improvement, additions, new construction and land purchase associated with new construction or additions;
- Health, safety and compliance with Americans with Disabilities Act;
- Energy conservation, improvements or projects;

- Information technology projects, including encryption or client confidentiality systems;
- Technology that promotes client independence;
- Purchase of vehicles;
- Acquisition and upgrades to electronic health or medical records and other health information technology systems; and
- Converting use of property to address mutually agreed to state agency service needs

Currently, there are 265 projects funded worth \$37,542,465. See Appendix C-8 for the FY 14 and FY 15 list of grant-in-aid recipients.

Appendix C-2

Information Technology Capital Investment Planned and Active Projects

Agency	Project Title	Description	Amount \$
Board of Regents for Higher Education	CT Education Academy	Charter Oak State College/CT Distance Learning Consortium along with Central Connecticut State University, the Department of Administrative Services (DAS), and the Bureau of Rehabilitation Services (BRS) and the Governor's Executive Committee for LEAN initiatives requests capital funding for the creation of the <i>CT Education Academy</i> , a State Employee centric educational environment which will provide an anywhere, anytime online "university" delivering academic training, registration and help desk services, and learning outcome assessments to the State Workforce. This project will coordinate training in a more efficient and cost effective manner.	\$1,857,615
Department of Administrative Services	Regulations Modernization For The State Of Connecticut	Currently, the publication of state regulations is completely paper based. The project shall encompass the efforts in developing a website for making the regulations of Connecticut available online to the public. The website shall incorporate the regulations making process consisting of various authorized governing bodies who decide on the proposed regulations, and a history of actions representing the process in the form of a regulations making record.	\$1,758,583
Department of Administrative Services	Establish Enterprise Unified Communications	Establish Enterprise Class Unified Communications for the State of CT, with all prerequisites satisfied at major facilities. From an homogenous experience on the desktop, laptop, mobile device or web client, state employees will have all the standard UC tools: telephone integration (soft and desktop), IM, Presence, email, fax from desktop, video point to point and video conferencing and collaborative document sharing. At the management level, the system will provide greater flexibility for communications processing, allowing emergency failover to multiple locations and virtual tools at homes. The overall costs of telephones, video conferencing and data network will be reduced.	\$10,648,872

Department of Administrative Services	Enterprise Content Management (ECM) Service Development	Enterprise Content Management (ECM) Service Development and Infrastructure Enhancements including Enterprise Records Retention, Redaction, Backup and Recovery software , and System Monitoring software.	\$5,388,291
Department of Administrative Services	Enterprise Licensing Management System (ELMS) Replacement RFP	The primary objective of this project is to position the enterprise licensing agencies to select a replacement ELMS Solution. This replacement decision will be based on a solution that better meets the long-term enterprise ELMS functions for issuing, maintaining, enforcement, and monitoring licenses. The ELMS product evaluation objectives will be broad with emphasis on a user-friendly and efficient licensing process, while providing additional features and services through the online portal. The technology options for these types of solutions are evolving rapidly. The state desires to assess the marketplace for more efficient and cost effective options.	\$265,000
Department of Children and Families	SACWIS Replacement Project - Planning Phase	DCF's <u>SACWIS Replacement Project – Planning Phase</u> , will replace DCF's current child welfare case management and reporting system. The current system has been in operation for 20 years and has been found to not be federally compliant and in need of replacement. The Department will need to engage in a planning phase to create a statement of work and receive federal approval for the project, allowing for federal reimbursement.	\$3,110,000
Department of Correction	Department of Correction Health Portal - DOC HP	The Department of Correction (DOC) is proposing to create a Department of Correction Health Portal (DOC-HP) which will provide an electronic health record to facilitate the care of the nearly 17,000 inmate patients within the agency's facilities also to serve as a mechanism to link the agency's healthcare system to the various state agencies, outside community agencies, and external hospitals and clinics involved in the healthcare of DOC's patients.	\$8,050,000
Department of Correction	DOC OMIS	The DOC OMIS project will replace the existing set of complex, fragmented, duplicative and outdated systems with a comprehensive, modern and fully integrated system to support all CTDOC institutions (Jails and Prisons), Board of Parole and Pardons, and the Division of Community Supervision and to provide DOC offender data for consumption by other law enforcement entities (municipal, state and federal) and constituents	\$4,375,000

Department of Developmental Services	DDS Home and Community Based Management System	DDS needs to modernize its current application environment to create a new automated, integrated information system that reflects the current DDS business workflow and processes. DDS is working with the Department of Social Services (DSS) to submit a proposal to the Centers for Medicare and Medicaid Services (CMS) for enhanced funding under their Advance Planning Document process to accomplish this. The resulting solution must meet the CMS Seven Technical Conditions and Standards which include modularity and scalability of application development for enterprise wide solutions	\$2,825,906
Department of Developmental Services	DDS Quality of Services Review Transformation	Department of Developmental Services, Quality of Services Review electronic application redesign.	\$363,180
Department of Economic and Community Development	Lean-Driven IT Revitalization Project - Plateau 1	Information Technology (IT) revitalization centers on the development of a hybrid IT environment consisting of on premise, BEST-hosted, and Windows Azure platform applications. The Agency's central processing environment consisting of its business, document management, and customer relationship management (CRM) systems may reside in house or in a cloud supported by either BEST or Microsoft (i.e., Windows Azure). This environment will be the foundation for support of for all of DECD's work.	\$1,770,000
Department of Emergency Services and Public Protection	Special Licensing and Firearms	In the wake of the Newtown shootings, the demand for firearm permits, gun sale authorizations and associated background checks has increased by about 25%. In addition to this increase in demand, there is a continuing expectation that background checks are performed as carefully as possible. Unfortunately, the current information system at the State Police that manages gun sale authorizations, gun permits, and associated background checks for the entire state is old and has very limited e-government (online self-service) capabilities. The current project seeks to upgrade this information system and provide additional e-government services so that State Police staff can manage the additional volume of permits and ensure that the utmost care is taken in properly vetting each gun sale authorization and permit application.	\$556,820

Department of Emergency Services and Public Protection	Criminal History Modernization Project	The current project seeks to modernize Connecticut's CCH, re-architecting the application and underlying database so that it can meet the current and future needs of the criminal justice community and private citizens in our state. In rebuilding CCH with modern technology, we will be able to provide real-time rap-back notifications to various subscribing agencies; online payments and online requests for background checks integrated into the CCH; fluid integration with other important information sharing systems, including the Connecticut On-Line Law Enforcement Communications Teleprocessing (COLLECT, the state's gateway to NCIC/NLETS), the Connecticut Information Sharing System (CISS), and the Weapons Registration System; and technology that can more adequately address the growing backlog of background checks in our state.	\$2,011,060
Department of Emergency Services and Public Protection	AFIS/Cogent	The current project seeks to modernize Connecticut's Automated Fingerprint Identification System (AFIS), replacing the current, aging system with a new, state of the art Automated Biometric Identification System (ABIS), so that it can meet the current and future needs of the criminal justice community and private citizens in our state.	\$5,088,086
Department of Energy and Environmental Protection	DEEP Enterprise Online Case Management System	DEEP's enterprise-wide online case management system will create a public online, paperless interface to conduct the business of licensing, permitting and registration of activities that are under DEEP jurisdiction.	\$7,950,000
Department of Energy and Environmental Protection	DEEP Enterprise Data Management	The DEEP data management program will integrate critical data systems and develop a data warehouse to provide one common source for all DEEP data.	\$2,500,000

Department of Energy and Environmental Protection	DEEP Document Repository Automation	The DEEP Document Repository Automation Project will replace an extensive paper document repository, regularly used by both the public and agency staff, with a comprehensive digital repository that will be available online to DEEP staff, and to the public at no charge, together with appropriate search tools, confidentiality protocols, automated document management tools, and day-forward procedures designed to reduce the need for DEEP and the entities it regulates to produce paper documents in the first place.	\$4,000,000
Department of Motor Vehicles	DMV, DESPP, DOI Real Time Insurance Verification Project	Real time insurance verification for all Connecticut registered vehicles – Currently law enforcement officers, DMV employees and others rely on insurance cards or Acora filings to prove a vehicle has the required insurance. These can be easily forged or outdated, e.g. the policy was cancelled after the card was issued but before the expiration date on the card. This project will establish a system that will allow insurance coverage to be verified on line in real time. This project will also benefit motorists who have insurance but have neglected to put their current insurance card in their vehicle.	\$900,000
Department of Public Health	Modernization of the Sexually Transmitted Diseases Database to a web-enabled application	The goal of this project is to transition sexually transmitted disease (STD) data from the current outdated desktop installed DOS-based stand alone database into the modern web-enabled application (CTEDSS) Maven system that is being used to support other infectious disease data and case management by the Department of Public Health (DPH). This transition will allow us to modernize the STD database, add additional functionality needed to meet expanding program needs such as case follow-up and tracking, add the ability for external users such as field-based staff, local health departments and healthcare providers to access the data remotely, and add the federally mandated capability for electronic laboratory reporting	\$2,524,806

Department of Public Health	Electronic Vital Records Registration System - Module Integration Project	The electronic vital records registration system module intergration project is proposed to add three software modules to the EVRR/Birth/Core registration system to complete the intergration of the comperhensive vital registration system at the Department of Public Health. The modules to be added into the system are for Death, Fetal Death and issuance (point of sale).	\$1,685,715
Department of Rehabilitation Services	Integrated Consumer Service and Reporting System	The Department of Rehabilitation Services (DORS) proposes to implement a Case Management System to support the unique business needs of the agency and its underlying programs. This system would optimize the communication and workflow between the programs of this recently consolidated Department. It would also provide caseload management tools and capabilities to programs presently unsupported by any technology. The primary project goals include: enhanced data management and reporting, uniform and streamlined fiscal processing , and promotion of data sharing between agency programs. Additional short term project goals include increased and efficient public access to services through enabling consumers to initiate the process of referring themselves to specific programs. Longer term goals could include using this same system and approach as a model for other state Social/Human Services Departments with multiple programs and funding sources.	\$3,255,798
Department of Revenue Services	Enterprise Content Management	The Department of Revenue Services (DRS) has embarked on an Enterprise Content Management (ECM) initiative that will provide (1) scanning and imaging, (2) FileNet conversion and (3) document management. The deliverables for ECM scanning and imaging will replace existing outdated and obsolete remittance and data entry equipment and enable streamlined processes.	\$10,865,000
Department of Social Services	ConneCT Project	The DSS ConneCT program will modernize the agency's existing infrastructure with the implementation of a Modernization of Client Service Delivery (MCSD) solution utilizing three (3) specific technologies: Internet-based Client Access and Web Services, Document Scanning and Work Flow Management, and Interactive Voice Response (IVR) and Call Center Services. These technologies will be integrated with our existing eligibility legacy system known as EMS. These functionalities will increase the efficiency and effectiveness in how DSS serves its customers.	\$7,648,853

Department of Social Services	Health Information Exchange/Integrated Eligibility (IE)	The Department of Social Services Integrated Eligibility System project will replace the Department's existing 24 year old Eligibility Management System (EMS). The integrated eligibility platform, once designed, developed and implemented, will provide a seamless eligibility and enrollment process for Medicaid, CHIP and the CTHIX, and will ultimately be used to determine eligibility for other social service programs (e.g., SNAP, TFA). Specifically, the planned integrated eligibility function will initially address federal requirements for eligibility determinations for advance premium tax credits and reduced cost sharing through the Exchange, MAGI-based eligibility for Medicaid, complete individual responsibility exemption determinations, and coordinate enrollment.	\$33,507,119
Department of Social Services	Balancing Incentive Program (BIP)	The Balancing Incentive Program – Automation of Long Term Services and Supports No Wrong Door and Universal Assessment (BIP Project) will streamline the process through which individuals who need Long Term Services and Supports (LTSS) apply for and receive those services, resulting in improved access to community-based, as opposed to, nursing facility care. LTSS are services and supports that help people with functional limitations care for themselves, providing help with things like bathing, dressing, eating, preparing meals, managing medications, walking, housework, and managing finances.	\$1,114,726
Department of Transportation	DOT Telephone System Replacement	The primary telephone switch (PBX) for the DOT Newington Headquarters is more than 20 years old and is past end of life. The system is no longer supportable due to the age of the hardware and the outdated software. At the same time, the cabling within the main building is too old to support new PBX technology and high-bandwidth geospatial applications. This project will replace the old cables as well as the current telephone system with new technology that will provide numerous benefits to the agency.	\$1,900,000
Department of Veterans Affairs	Electronic Medical Record	To implement an Electronic Medical Records (EMR) Patients Management System from the point of admission, patient care plan, patient care records, scheduling, prescription drugs, patient billing, VA per diem and Medicare/Medicaid billing, to include case management and reporting – the enterprise solution will need to meet the federal requirement for billing/reimbursement and to be in compliance with all Federal Regulation and HIPPA compliance requirements.	\$818,956

Division of Public Defenders	DPDS Capabilities Improvement Program	The project will ensure the success of the Connecticut Information Sharing System (CISS) efforts at DPDS and address the following critical issues: (a) the lack of the dedicated Case Management System that meets the needs of adults as well as juvenile clients; (b) the difficult access to key information and information sources; (c) the lack of standardized Attorney and Staff information tools; (d) the lack of integrated information; (e) the lack of mobile access to information; and (f) the excessive reliance on paper files.	\$3,834,250
Office of Early Childhood	Connecticut's Early Childhood Information System and Quality Rating and Improvement System.TQRIS	To meet PA 11-181, two distinct information systems need to be built: an Early Childhood Information System (ECIS) and a Quality Rating and Improvement System (QRIS). The ECIS is an operational transactional system used for child case management and answering advanced policy-related questions. The QRIS is a system used to track early care and education program- and provider-level data, as well as to provide the public with information about the quality of available early care and education programs	\$6,000,000
Department of Consumer Protection	Mobile Technology - Initial Inspection Efficiency Enhancement	This project is the digitalization of all initial inspections by performing data entry and storage directly into the enterprise system at the initial inspection site. These enhancements would eliminate re-entering data, improve data analysis, expedite approval times for registrants, and permit employees to spend more time on mission-critical tasks. The citizens and businesses of Connecticut would be better served by the increased efficiency of the digital inspection process. Businesses will be able to provide their services to the public by having their appropriate credential approved in a much timelier manner.	\$78,000
Department of Labor	Mobile Technology - Wage and Workplace Mobile Computing (WWMC)	Use of laptops, Air / MiFi Cards and VPN keys to allow field staff to work independent of office constraints and perform investigations and data entry outside of the office.	\$75,000
Department of Public Health	Mobile Technology - Medical Facility Complaints Website, Automation and Document Storage	Medical Facility Complaints Website, Automation and Document Storage project will eliminate a paper laden, manual process and replace it with a website, mobile computing and an automated electronic filing system that will better serve the people of the State of Connecticut, save time and money.	\$97,407
Department of Revenue Services	Mobile Technology - Mobile Revenue Collection	Project will eliminate a paper laden, manual process and replace it with a website, mobile computing and an automated electronic filing system that will better serve the people of the State of Connecticut, save time and money.	\$79,275

Office of the Chief Medical Examiner	Mobile Technology - Medical Examiner Forensic Field Investigation	Acquisition of hardware (toughbooks) in an effort to make field operations mobile, faster, secured/encrypted, and more reliable to integrate with current software applications used by Case Manager system at OCME.	\$70,000
Department of Public Health	Local Health Annual Report	The goal of this project is to update the local health annual report in order for the Department of Public Health (DPH) to ensure that the LHDs, that are eligible to receive per capita funding, are meeting the statutory requirements to receive the funds.	\$74,880
Department of Consumer Protection	Enhancements to the Connecticut Prescription Monitoring and Reporting System (CPMRS)	Enhancing the Connecticut Prescription Monitoring and Reporting System (CPMRS) to more effectively identify medication abuse, misuse and overprescribing patterns by adding more functionality and automating many of the highly labor intensive manually function within the system	\$200,255
Department of Labor	Unemployment Insurance (UI) Revitalization	The purpose of the UI Revitalization project is to replace CTDO's aging legacy systems with a robust, integrated, accountable and accessible UI Tax and Benefits system that serves the needs of Connecticut's claimants and employers in a customer-centric environment where they realize more conveniences and time savings, agency staff are highly skilled and motivated, and UI tax and benefits operations are streamlined and efficient.	\$1,255,000
Department of Social Services	Husky Family Coverage	Under the budget as approved by the General Assembly, the Department of Social Services and Access Health Connecticut (AHCT) have been requested to modify the existing, shared Integrated Medicaid Eligibility system to allow for proposed revisions to Medicaid/Qualified Health Plan (QHP) coverage for certain HUSKY A adults. For those HUSKY A adults with incomes over 150% of the federal poverty level (effectively 155% after consideration of the 5% income disregard), Medicaid will no longer provide coverage, and these individuals will transition to federally subsidized coverage under QHP's offered by AHCT. Those with earned income, however, are subject to a 12 month transitional coverage extension. Pregnant women above 155% FPL are exempt from this change.	\$469,770
Department of Mental Health and Addiction Services	Scheduling and Timekeeping System	Scheduling and Timekeeping System Requirements Phase	\$35,000

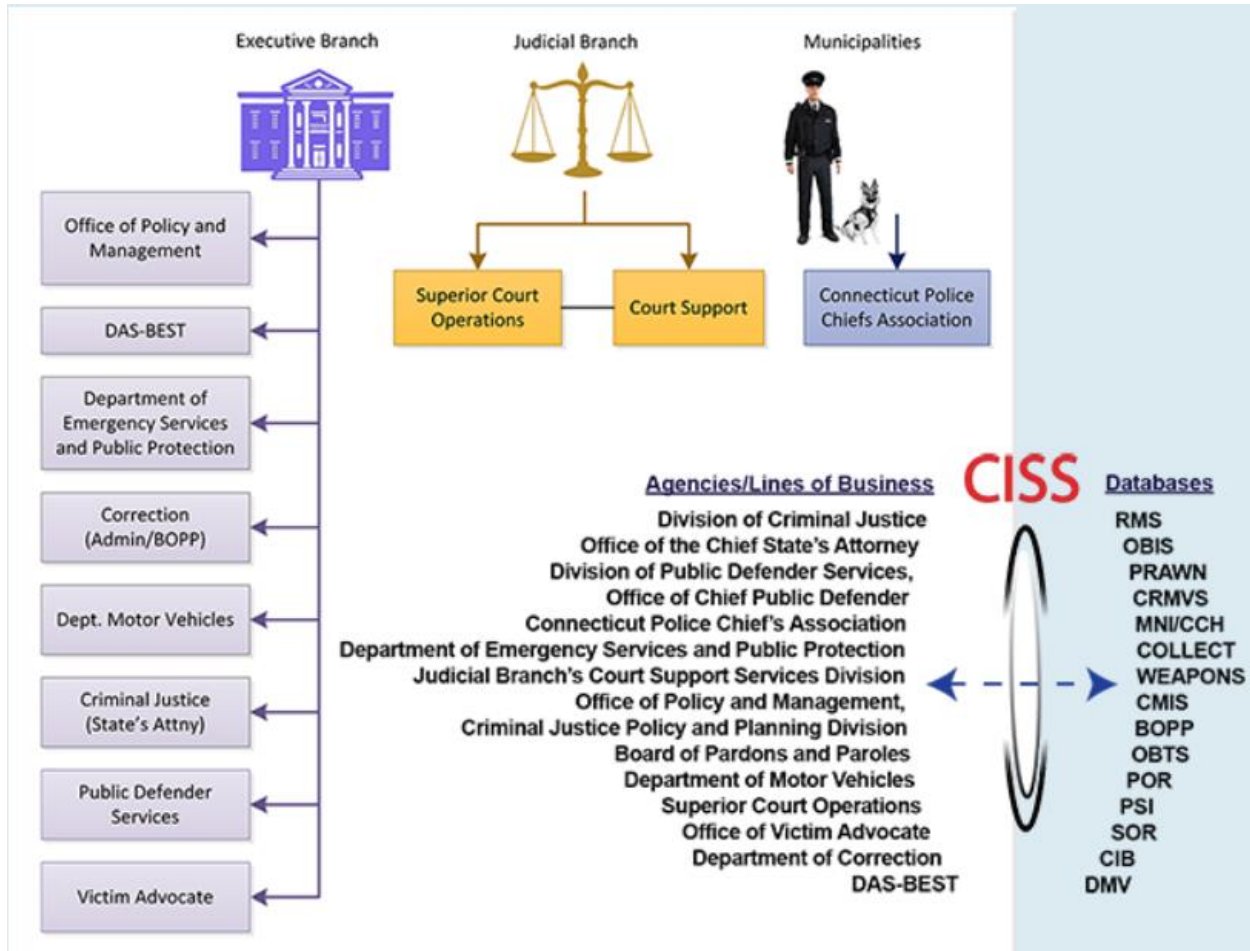
Department of Banking	DOB IT Major Application Planning & Integration Support	The Department of Banking (DOB) would like to secure the services of an outside IT consultant to assist with its planning and integration of a major IT upgrade which will permit the agency to meet its demanding strategic plan, and ensure the on-going operations of the Department. First, the consultant will be provided with the DOB strategic plan and a meeting with each division director will be scheduled to explain the strategic plan and get a better understanding of our IT needs. An improved IT system is needed to handle the ever increasing volumes and complexity of our work. Second, the consultant will provide a plan outlining the most cost effective and efficient components to be incorporated into the process of obtaining a new IT system for use by the DOB. This is expected to take 3 months. Third, the plan that is developed will be implemented over the ensuing 12-15 months.	\$160,000
Secretary of State	Election Infrastructure: Improving Voter Service at Polling Places	The purpose of the project is to improve the efficiency of the voter check-in process and to enhance the voting experience for persons with disabilities.	\$4,550,000
Secretary of State	Post-Election Audit	The project will alleviate the burden on towns randomly selected to perform post-election audits.	\$100,000
Secretary of State	Election Infrastructure: Improving Speed of Reporting Results	The purpose of the projects is to modernize and improve speed and accuracy in reporting election results. Memory cards will be removed from the tabulator machines and the data contained therein will be uploaded into a online system to report results.	\$2,100,000
Department of Labor	CT State Information Data Exchange System (SIDES)	In order to determine individual's eligibility for unemployment benefits, information must be obtained from the claimant and the employer. Currently this requires notices and questionnaires to be mailed to the parties and their responses mailed or faxed back. CT SIDES will allow employer separation information to be requested and received electronically.	\$372,758
Department of Labor	Unemployment Insurance Reemployment and Eligibility Assessments (UI REA)	CTDOL plans to implement UI REA in three distinct phases. During each phase, the agency's UI mainframe system will perform the automated selection and scheduling of claimants, generate notification letters and provide for manual rescheduling and federal reporting data collection.	\$293,184

Office of Protection and Advocacy	OPA Central Registry Abuse Investigation Database - Requirements	Project PACRAID will overhaul and replace several limited outmoded databases with one programmatic/forensic investigative case management information system overseen by The Office of Protection and Advocacy.	\$90,000
Department of Revenue Services	DRS IT Modernization Project Business Case Development (Requirements)	This project will enable the Department of Revenue Services (DRS) to secure the services of an outside IT Consultant to assist with the development of a business case for a future IT solution. It will identify IT and business solutions that will maintain and enhance DRS's ability to collect and administer Connecticut taxes and revenue. DRS seeks an independent, highly experienced third-party consultant to develop a sensible and comprehensive tax system modernization business case and roadmap. This project will utilize the information gathered from its ongoing IT Modernization Project.	\$300,000
University of Connecticut Health Center	Integrated Electronic Medical Record	To implement an Integrated EMR that provides the health information technology to enable UConn Health to achieve full compliance with federal and state requirements, supports our clinical staff in providing safe, quality patient care, supports our clinical research and education missions, and allows for clinical collaborations both within our organization and with the greater community for patients we serve	\$41,000,000
Department of Administrative Services	Office of the Claims Commissioner - Electronic Filing and Case Management System	Implement software for the Office of the Claims Commissioner that allows for electronic filing and tracking of cases.	\$70,000
Office of Policy and Management	IT Business Requirements and Strategic Planning	Support state agencies Information Technology strategic planning and development of business requirements.	\$572,491
Office of Policy and Management	Lean program for the DHMAS Scheduling and Timekeeping System project	Implementation of the LEAN program to support the DHMAS Timekeeping System project	\$4,000
Office of Policy and Management	Lean program for the DAS / BEST E-Licensing project	Implementation of the LEAN program to support the DAS / BEST E-Licensing project, DHMAS Timekeeping System project	\$4,000

Office of Policy and Management	State-wide health information exchange	Authorization for the development and maintenance of a state-wide health information exchange including the purchase of software and related equipment.	\$30,000,000
Department of Energy and Environmental Protection	VOIP (Voice over IP telephony) implementation at DEEP	VOIP (Voice over IP telephony) implementation at DEEP headquarters and major satellite offices.	\$1,500,000
Office of Policy and Management	Grants management system	The Criminal Justice Policy and Planning Division of OPM (OPM/CJPPD) is purchasing a Lifecycle Grants Management Solution (LC-GMS) that encompasses beginning to end management and administration of grant programs, sub-recipient grant projects and/or contracts in a workflow driven, scalable, user configurable, secure, enterprise capable system.	\$421,244
Office of State Comptroller	STATE EMPLOYEES RETIREMENT SYSTEM (SERS) REPLACEMENT PROJECT - Requirements Phase	This project will replace the current State Employee Retirement System (SERS) with the PeopleSoft Pension Administration Module	\$2,000,000
Department of Administrative Services	Enterprise Licensing	Enterprise credential management (eLicensing) is used today by multiple agencies (DPH, DCP, SOTS, DOAG). This project will complete current rollout activities to maximize current product implementation. This project will also reexamine agency business requirements to determine best long term system options.	\$270,000
TOTAL			\$222,815,900

Appendix C-3

CJIS CISS Diagram



Appendix C-3

FY 15 STEAP Grant-in-aid Awards

Town Name	Project Description	\$ Amount
Beacon Falls	Phase One Construction of Highland Avenue	199,500
Berlin	Kensington Village Area Sidewalks - Downtown Berlin Area of Kensington Village	500,000
Bethany	Convert old Bethany Airport Hangar into recreation building and emergency shelter	500,000
Farmington	Improve Access & Usability of Farmington Ave. through Bioscience Corridor and the Historic Farmington Village	350,000
Greenwich	Construction of two story facility to house food and clothing distribution services of Neighbor to Neighbor	250,000
Hebron	Replacement of Marjorie Circle Bridge over Jeremy River	391,000
New Milford	Expansion of Recreational Room at New Milford Senior Center	498,253
Plainfield	Water Main Extension to Local Industrial Zone	200,000
Simsbury	Completion of the Veterans' Memorial	150,000
Sprague	Reconstruct portions of Grandview and Sunrise Drives	500,000
Stafford	Extend Public Water Line and Sewer to accommodate Avery Park Housing Project	500,000
Voluntown	Construction of a Public Works Garage	500,000
Woodbridge	Convert Former WFD HQ into a Community & Recreational Space	500,000
		5,038,753

Appendix C-4

FY 16 and FY 17 Grants-in-aid to Towns for Municipal Projects and Purposes

Town	FY 16 \$	FY 17 \$
Andover	\$2,620	\$2,620
Ansonia	85,419	85,419
Ashford	3,582	3,582
Avon	261,442	261,442
Barkhamsted	41,462	41,462
Beacon Falls	43,809	43,809
Berlin	786,396	786,396
Bethany	67,229	67,229
Bethel	282,660	282,660
Bethlehem	7,945	7,945
Bloomfield	1,701,347	1,701,347
Bolton	24,859	24,859
Bozrah	138,521	138,521
Branford	374,850	374,850
Bridgeport	1,031,564	1,031,564
Bridgewater	587	587
Bristol	2,486,925	2,486,925
Brookfield	118,281	118,281
Brooklyn	10,379	10,379
Burlington	15,300	15,300
Canaan	20,712	20,712
Canterbury	2,022	2,022
Canton	7,994	7,994
Chaplin	601	601
Cheshire	736,700	736,700
Chester	89,264	89,264
Clinton	191,674	191,674
Colchester	39,009	39,009
Colebrook	550	550
Columbia	26,763	26,763
Cornwall	-	-
Coventry	10,533	10,533
Cromwell	31,099	31,099
Danbury	1,726,901	1,726,901
Darien	-	-
Deep River	104,136	104,136
Derby	14,728	14,728
Durham	153,897	153,897
Eastford	54,564	54,564
East Granby	537,454	537,454
East Haddam	1,696	1,696
East Hampton	18,943	18,943

Town	FY 16 \$	FY 17 \$
East Hartford	4,447,536	4,447,536
East Haven	43,500	43,500
East Lyme	22,442	22,442
Easton	2,660	2,660
East Windsor	295,024	295,024
Ellington	223,527	223,527
Enfield	256,875	256,875
Essex	74,547	74,547
Fairfield	96,747	96,747
Farmington	545,804	545,804
Franklin	23,080	23,080
Glastonbury	240,799	240,799
Goshen	2,648	2,648
Granby	35,332	35,332
Greenwich	89,022	89,022
Griswold	31,895	31,895
Groton (Town of)	1,240,819	1,240,819
Guilford	64,848	64,848
Haddam	3,554	3,554
Hamden	286,689	286,689
Hampton	-	-
Hartford	1,419,161	1,419,161
Hartland	955	955
Harwinton	21,506	21,506
Hebron	2,216	2,216
Kent	-	-
Killingly	706,717	706,717
Killingworth	5,148	5,148
Lebanon	30,427	30,427
Ledyard	421,085	421,085
Lisbon	3,683	3,683
Litchfield	3,432	3,432
Lyme	-	-
Madison	6,795	6,795
Manchester	1,072,449	1,072,449
Mansfield	6,841	6,841
Marlborough	7,313	7,313
Meriden	893,641	893,641
Middlebury	84,264	84,264
Middlefield	248,652	248,652
Middletown	1,987,145	1,987,145
Milford	1,344,868	1,344,868
Monroe	179,106	179,106
Montville	528,644	528,644
Morris	3,528	3,528
Naugatuck	341,656	341,656
New Britain	1,383,881	1,383,881

Town	FY 16 \$	FY 17 \$
New Canaan	200	200
New Fairfield	1,149	1,149
New Hartford	139,174	139,174
New Haven	1,369,123	1,369,123
Newington	917,869	917,869
New London	33,169	33,169
New Milford	674,203	674,203
Newtown	235,371	235,371
Norfolk	7,207	7,207
North Branford	301,074	301,074
North Canaan	359,719	359,719
North Haven	1,445,730	1,445,730
North Stonington	-	-
Norwalk	402,915	402,915
Norwich	187,132	187,132
Old Lyme	1,888	1,888
Old Saybrook	46,717	46,717
Orange	104,962	104,962
Oxford	84,313	84,313
Plainfield	144,803	144,803
Plainville	541,936	541,936
Plymouth	152,434	152,434
Pomfret	27,820	27,820
Portland	90,840	90,840
Preston	-	-
Prospect	70,942	70,942
Putnam	171,800	171,800
Redding	1,329	1,329
Ridgefield	561,986	561,986
Rocky Hill	221,199	221,199
Roxbury	602	602
Salem	4,699	4,699
Salisbury	83	83
Scotland	7,681	7,681
Seymour	281,186	281,186
Sharon	-	-
Shelton	584,121	584,121
Sherman	-	-
Simsbury	77,648	77,648
Somers	82,324	82,324
Southbury	20,981	20,981
Southington	820,795	820,795
South Windsor	1,338,190	1,338,190
Sprague	386,528	386,528
Stafford	437,917	437,917
Stamford	416,142	416,142
Sterling	24,398	24,398

Town	FY 16 \$	FY 17 \$
Stonington	100,332	100,332
Stratford	3,507,689	3,507,689
Suffield	180,663	180,663
Thomaston	395,346	395,346
Thompson	76,733	76,733
Tolland	85,064	85,064
Torrington	605,345	605,345
Trumbull	189,309	189,309
Union	-	-
Vernon	151,598	151,598
Voluntown	2,002	2,002
Wallingford	1,948,455	1,948,455
Warren	288	288
Washington	158	158
Waterbury	2,516,158	2,516,158
Waterford	34,255	34,255
Watertown	642,281	642,281
Westbrook	267,405	267,405
West Hartford	805,784	805,784
West Haven	147,516	147,516
Weston	453	453
Westport	-	-
Wethersfield	21,785	21,785
Willington	20,018	20,018
Wilton	307,058	307,058
Winchester	306,204	306,204
Windham	454,575	454,575
Windsor	1,321,000	1,321,000
Windsor Locks	1,907,971	1,907,971
Wolcott	234,916	234,916
Woodbridge	29,920	29,920
Woodbury	56,908	56,908
Woodstock	68,767	68,767
Jewett City (Bor.)	4,195	4,195
Barkhamstead FD	2,500	2,500
Berlin - Kensington FD	11,389	11,389
Berlin - Worthington FD	941	941
Bloomfield: Center FD	4,173	4,173
Bloomfield Blue Hills FD	103,086	103,086
Cromwell FD	1,832	1,832
Enfield FD 1	14,636	14,636
Enfield: Thompsonville FD 2	3,160	3,160
Enfield: Hazardville Fire #3	1,374	1,374
Enfield: N Thompsonville FD 4	69	69
Enfield: Shaker Pines FD 5	6,403	6,403
Groton City	164,635	164,635
Groton Sewer	1,688	1,688

Town	FY 16 \$	FY 17 \$
Groton Old Mystic FD 5	1,695	1,695
Groton: Poq. Bridge FD	22,300	22,300
Killingly Attawaugan FD	1,836	1,836
Killingly Dayville FD	42,086	42,086
Killingly Dyer Manor	1,428	1,428
E. Killingly FD	95	95
So. Killingly FD	189	189
Killingly Williamsville FD	6,710	6,710
Manchester Eighth Util.	68,425	68,425
Middletown: South FD	207,081	207,081
Middletown Westfield FD	10,801	10,801
Middletown City Fire	33,837	33,837
New Htfd. Village FD #1	7,128	7,128
New Htfd Pine Meadow #3	131	131
New Htfd South End FD	10	10
Plainfield Central Village FD	1,466	1,466
Plainfield - Moosup FD	2,174	2,174
Plainfield: Plainfield FD	1,959	1,959
Plainfield Wauregan FD	5,136	5,136
Pomfret FD	1,031	1,031
Putnam: E. Putnam FD	10,110	10,110
Simsbury FD	2,638	2,638
Stafford Springs Service Dist.	15,246	15,246
Sterling FD	1,293	1,293
Stonington Mystic FD	601	601
Stonington Old Mystic FD	2,519	2,519
Stonington Pawcatuck FD	5,500	5,500
Stonington Quiambug FD	72	72
Stonington Wequetequock FD	73	73
Trumbull Center	555	555
Trumbull Long Hill FD	1,105	1,105
Trumbull Nichols FD	3,435	3,435
W. Haven: West Shore FD	34,708	34,708
W. Haven: Allingtown FD	21,514	21,514
West Haven First Ctr FD 1	4,736	4,736
Windsor Wilson FD	214	214
Windsor FD	14	14
Windham First	8,929	8,929
TOTAL	60,000,000	60,000,000

Appendix C-5

Intertown Capital Equipment Purchasing Incentive Program Grants-in-aid

Recipients	Equipment	Amount \$
December 2012 Grants-in-aid		
Sprague, Bozrah, Franklin, Lebanon	Bucket Truck, Brush Chipper, Over-the-Rail Mower, Grapple Bucket Payloader, Catch Basin Cleaner	Withdrawn
Bristol, Plainville, Plymouth	Python Pothole Patcher	150,000
Middlefield, Durham	Wood chipper for recycling center	106,525
Coventry, Chaplin, Columbia, Lebanon, Mansfield, Windham, Willington	Two pavement recyclers, Skid Steer, Tilt-Top Trailer	90,698
Wethersfield, Berlin, Newington, Rocky Hill	75' Bucket Truck	78,117
Manchester, East Hartford, South Windsor	Liquid De-Icer System, Brine Maker and Sprayers	60,212
Coventry and South Windsor	Computer Equipment	32,215
Brooklyn, Canterbury, Plainfield	Bucket Truck	23,253
Ashford, Putnam, Thompson	Computers	21,850
Deep River and Essex	Emergency Communication Equipment for Regional School District #4	18,025
Brooklyn and Putnam	Dethatcher/Overseeder	4,691
TOTAL		585,586
May 2014 Grants-in-Aid		
Coventry, South Windsor, Tolland	E-government technology equipment	31,207
Glastonbury and Hebron	Grounds maintenance equipment	36,809
Burlington and Farmington	Dispatch system servers	9,424
Burlington and Canton	Paving box ³	Withdrawn
TOTAL		77,440
2015 Grants-in-Aid		
Ansonia and Derby	Shared truck	14,939
Coventry, South Windsor, Tolland	Computer equipment	34,193
Coventry and Mansfield	Catch basin cleaner	80,299
Lyme and Old Lyme	Patrol boat and accessories	3,389
New Haven and Woodbridge	Chassis and dump trailer	89,500

³ A paving box or drag box paver is hooked to the back of the dump truck and the asphalt is dumped into the box. This paving technique is used to pre-level potholes or deep cracks on roads, and as a patchwork asphalt maintenance [tool](#). The machine does not have the control for precise paving jobs; it is mainly used to overlay material on existing asphalt roads.

Recipients	Equipment	Amount \$
Oxford, Beacon Falls, Derby and Seymour	Storm Drain Vacuum Truck	Withdrawn
Simsbury and Bloomfield	Track Paver	18,885
Simsbury and East Granby	Roadside Mower	27,983
Simsbury, Avon, Bloomfield, Canton, Farmington and Granby	3 Trailer Mounted Hot Boxes	52,500
Warren with all Towns in Northwest Hills COG	Street Sweeper	136,662
Weston, Darien, Easton, Greenwich, New Canaan, Norwalk, Stamford, Westport and Wilton	Equipment/Tools for Technical Investigation Unit of South Western CT	21,361
TOTAL		479,711

Appendix C-6

FY 15 Transit-Oriented Development Planning Grant Awards

Town Name	Project Description	Amount \$
Berlin	TOD plan for the Berlin Train Station/Kensington Village area	150,000
Meriden	Traffic and engineering plans for roadway improvements within the station area	125,000
New Haven	Transportation and land use planning around Wooster Square/Water Street neighborhood and Downtown Crossing District	125,000
Bridgeport	TOD Master Plan around the future Barnum Train Station	150,000
Enfield	Economic Development Strategy for the Thompsonville section of Enfield	100,000
West Hartford	Complete Streets Study for the New Park Ave Transit Area	75,000
Bethel	TOD technical analysis around the Bethel Train Station, including transportation planning, sewer capacity examinations, environmental analysis, and economic/market analysis	100,000
Wallingford	TOD/Station area plan of development	75,000
New Britain	Station Area Plans of Development around the Downtown, East Main Street and East Street CTfastrak stations	250,000
Milford	Market Analysis and Conceptual Plan centered on the Milford Train Station	150,000
Stratford	Complete Streets Improvement Plan for Stratford Center	200,000
TOTAL		1,500,000

Appendix C-7

Nonprofit Collaboration Incentive Program

Date	Organization	Project Description	Amount \$
2/24/2011	CommuniCare Inc.	IT collaboration among Bridges, Inc., Birmingham Health Group Services, and Harbor Health Services.	331,059
2/24/2011	Family Centers' Inc.	IT Collaboration among Family Centers' Inc. and Children's Agency and Family Services – Woodfield.	584,611
4/27/2012	Wheeler Clinic	Purchase of video conferencing equipment to allow for collaboration with Community Health Resources – Plainville.	121,405
4/27/2012	Bristol Community Organization, Inc.	IT system collaboration to consolidate administrative functions among Bristol Community Organization, Inc., TEAM, Inc. and the Human Resource Agency.	134,841
10/17/2014	Connecticut Association for Community Action	Streamline data warehouse with Access Agency; Community Action Committee of Danbury; New Opportunities, Inc.; Thames Valley Council for Community Action.	525,000
1/30/2015	Waterford Country School Camp Cuheca	Upgrade existing activity site and garden with FRESH Farm New London.	62,485
10/17/2014	Community Mental Health Affiliates, Inc.	Consolidation of administrative functions with Farrell Treatment Center.	310,885
10/17/2014	CIL Community Resources, Inc.	Development of a multi-tenant nonprofit center in Hartford with Connecticut Association of Nonprofits.	1,500,000
12/1/2014	New London Homeless Hospitality Center	Purchase of a 15-passenger van with New London Meal Center.	53,000
10/17/2014	Mercy Housing and Shelter Corporation	Purchase and development of a client database system with Hands on Hartford.	103,635
12/14/2015	CCARC Inc.	Create a joint Multi-Sensory Stimulation Environment to be used by CCARC Inc. and Hospital for Special Care.	82,925
11/13/2015	Clifford W. Beers Guidance Clinic	Purchase teleconferencing equipment and software to be used by Clifford W. Beers	75,124

	Inc.	Guidance Clinic Inc. and Fair Haven Community Health Center.	
2/9/2016	Connecticut Council of Family Service Agencies Inc.	Purchase of mobile equipment such as tablets and to upgrade the current web based outcome tracking and performance system to be used by the Connecticut Council of Family Services Agencies Inc. and six member agencies.	44,614
11/13/2015	Family Centers Inc.	Purchase of mobile equipment such as tablets and to improve the current Wi-Fi system to be used by Family Centers Inc., Family & Children's Agency and LifeBridge Community Services Inc.	90,200
11/13/2015	Family and Children's Aid Inc.	Create additional office space and a new training facility to be used by Family and Children's Aid and the Hispanic Center.	250,779
12/14/2015	New London Homeless Hospitality Center Inc.	Create additional meeting space to be shared by New London Homeless Hospitality Center Inc., Covenant Shelter of New London Inc., and Columbus House Inc.	86,300
12/14/2015	The Village for Children & Families Inc.	Create additional office space to accommodate the co-location of The Village for Children & Families Inc. and the Exchange Club Center for the Prevention of Children Abuse of Connecticut as well as technology improvements.	308,005
SUB-TOTAL			4,664,868
Grant Pending Execution	Cornell Scott-Hill Health Corporation	Create a tele-behavioral health video system to be shared by Cornell Scott-Hill Corporation and the Lower Naugatuck Valley Parent Child Resource Center.	335,132
TOTAL			5,000,000

Appendix C-8

FY 14 and 15 Grants-in-aid to Private, Non-Profit Health and Human Service Organizations

Name of Organization	Name of Project	Amount of Grant \$
Abilis, Inc.	Technology	130,000
Ability Beyond Disability, Inc	Safety - Dorset	181,000
Ability Beyond Disability, Inc	Safety - Ritch	146,000
Ability Beyond Disability, Inc	Safety - Saw Mill	209,000
Ability Beyond Disability, Inc	Safety - Squire	197,000
Ability Beyond Disability, Inc	Safety - sweet cake	242,000
Ability Beyond Disability, Inc.	I/T	886,500
Allied Rehabilitation Centers, Inc	Generators	69,000
Allied Rehabilitation Centers, Inc	HVAC Upgrade	158,900
Allied Rehabilitation Centers, Inc	Generators	52,600
American School for the Deaf	Vehicle	30,000
APT Foundation, Inc.	I/T	157,281
Arc of Meriden-Wallingford, Inc.	Generators	49,740
Arc of Meriden-Wallingford, Inc.	Generator - Research Parkway	79,600
Brain Injury Alliance of Connecticut, Inc.	I/T	49,000
Bridges...A Community Support System, Inc.	I/T	80,520
Bridges...A Community Support System, Inc.	Renovations/Open Door Social Club	107,000
Bristol Adult Resource Center, Inc.	Vehicle	70,000
Bristol Community Organization, Inc.	Improvement	156,250
Bristol Hospital, Inc.	Kitchen Renovation	68,090
Catholic Charities of Fairfield County, Inc	Vehicle	38,219
CCARC, Inc.	Water Booster System	28,000
CCARC, Inc.	Vehicles	103,500
CCARC, Inc.	Generator	117,500
Center for Medicare Advocacy, Inc.	I/T	18,680
Central Connecticut Coast Young Men's Christian Association, Inc.	I/T	13,680
Central Naugatuck Valley Help, Inc	Generator	84,550
Central Naugatuck Valley Help, Inc	I/T	38,261
Central Naugatuck Valley Help, Inc	Vehicles	72,145
Chapel Haven, Inc	Generator - Berkshaw	35,000
Chapel Haven, Inc	Generator	85,000
Charter Oak Health Center, Inc.	Renovations	191,000
Chemical Abuse Services Agency, Inc	Vehicles	70,500

Chemical Abuse Services Agency, Inc.	Electronic Medical Records	237,261
Child and Family Agency of Southeastern CT, Inc.	Fire Escape Replacement/Safety	29,500
Child and Family Agency of Southeastern CT, Inc.	New Windows/Safety/Improvements	45,500
Child Guidance Center of Southern Connecticut, Inc	Security	28,000
Child Guidance Center of Southern Connecticut, Inc	ECR	44,582
Child Guidance Clinic of Central Connecticut, Inc.	Renovation	37,386
Christian Community Action, Inc.	I/T	17,990
Christian Community Action, Inc.	Vehicle	27,000
Chrysalis Center, Inc.	Improvement Chrysalis Center	479,693
Chrysalis Center, Inc.	Improvement	467,008
Clifford W. Beers Guidance Clinic, Inc.	Improvement - Roof	334,500
Clifford W. Beers Guidance Clinic, Inc.	I/T	89,453
Clifford W. Beers Guidance Clinic, Inc.	Improvement - Parking Lot	50,370
Columbus House, Inc	Renovation	198,775
Columbus House, Inc.	Parking Lot Renovation	35,000
Communicare, Inc. c/o BHCare, Inc.	IT Central Office	119,165
Community Action Agency of New Haven, Inc.	I/T	41,443
Community Child Guidance Clinic, Manchester, Connecticut, Inc. dba: Community Child Guidance Clinic, Inc.	Vehicles	143,379
Community Health Resources, Inc.	Renovation	778,500
Community Partners in Action, Inc.	Upgraded Energy Efficient HVAC	188,000
Community Partners in Action, Inc.	Vehicle Replacement	143,000
Community Partners in Action, Inc.	Upgraded Security	86,000
Community Partners in Action, Inc.	Roof Replacement	33,240
Community Renewal Team, Inc	Energy Improvements	225,660
Community Renewal Team, Inc	Energy & Conservation	51,700
Community Renewal Team, Inc	Vehicle	98,350
Community Renewal Team, Inc.	Generator - 555 Windsor Avenue	449,270
Connecticut Association for Human Services, Inc.	I/T	100,000
Connecticut Coalition to End Homelessness, Inc.	I/T	109,510
Connecticut Institute For Communities, Inc.	I/T	329,900
Connecticut Institute for the Blind, Inc., dba Oak Hill	Generator	558,270
Connecticut Junior Republic Association, Inc	HVAC - Prospect Street	500,000
Connecticut Junior Republic Association, Inc	Vehicle	135,300
Connecticut Legal Services, Inc.	Improvement	25,300
Connecticut Renaissance, Inc.	Generator	58,080
Connecticut Renaissance, Inc.	Renovation	32,782
Connecticut Renaissance, Inc.	Mini Vans	88,095
Covenant to Care, Inc. d/b/a Covenant to Care For Children	Vehicle	53,954
CW Resources, Inc.	Vehicles	220,000
CW Resources, Inc.	Improvement Myrtle Street	78,444

CW Resources, Inc.	Improvement Auto Doors Opener	76,083
CW Resources, Inc.	Parking lot improvements	106,665
CW Resources, Inc.	Improvement	69,505
Day Kimball Healthcare, Inc., dba Day Kimball Hospital	New Construction	726,035
Day Kimball Healthcare, Inc., dba Day Kimball Hospital	I/T - Software	34,862
Day Kimball Healthcare, Inc., dba Day Kimball Hospital	I/T	37,087
Day Kimball Healthcare, Inc., dba Day Kimball Hospital	I/T - Data Care	8,755
Easter Seal Rehabilitation Center of Greater Waterbury, Inc.	HVAC - State Street	16,200
Easter Seal Rehabilitation Center of Greater Waterbury, Inc.	Lighting - 122 Avenue of Industry	63,000
Easter Seal Rehabilitation Center of Greater Waterbury, Inc.	Windows - 122 Avenue of Industry	26,100
Easter Seal Rehabilitation Center of Greater Waterbury, Inc.	Lighting - State Street	17,100
Easter Seal Rehabilitation Center of Greater Waterbury, Inc.	HVAC - Tompkins Street	16,200
Easter Seal Rehabilitation Center of Greater Waterbury, Inc.	Generator - 22 Tompkins Street	26,100
Easter Seal Rehabilitation Center of Greater Waterbury, Inc.	HVAC - 122 Avenue of Industry	16,200
Easter Seals Capital Region & Eastern CT, Inc.	Vehicles	452,000
Easter Seals Goodwill Industries Rehabilitation Center, Inc.	I/T	25,000
Easter Seals Goodwill Industries Rehabilitation Center, Inc.	Vehicle	32,000
Easter Seals Goodwill Industries Rehabilitation Center, Inc.	I/T - Spice	25,000
Education Connection	Vehicles	59,508
Education Connection	EMR	75,405
Education Connection	2 Minivans	39,672
Education Connection	IT Enhancements	104,011
ElderHouse, Inc.	IT	25,400
Families in Crisis, Inc	I/T	35,000
Family & Children's Agency, Inc.	I/T	207,938
Family and Children's Aid, Inc.	Renovation	110,220
Family Centers, Inc	I/T	242,925
Fellowship Place, Inc.	Renovation	557,672
Foodshare, Inc	I/T	160,027
Foothills Visiting Nurse & Home Care, Inc.	EMR	33,060
Futures, Inc.	Vehicle	117,820
Futures, Inc.	Improvement	111,248
Gilead Community Services, Inc.	Social Rehab Kitchen Renovation	39,682
Greater New Britain Teen Pregnancy Prevention, Inc. d/b/a Pathways Senderos Center	Vehicle	34,000

Hartford Interval House, Inc.	Renovation	286,251
Hebrew Community Services, Inc.	Vehicles	211,344
Hockanum Valley Community Council, Inc.	I/T	71,980
Holy Family Home and Shelter, Inc	Improvement	28,774
Holy Family Home and Shelter, Inc	Vehicle	36,000
Independence Northwest: Center for Independent Living of Northwest Connecticut, Inc. d/b/a Independence Northwest, Inc.	HVAC Improvement Main Center	45,538
Inspirica, Inc.	Improvement	170,000
Inspirica, Inc.	Safety	150,000
Inspirica, Inc.	Generator - Franklin Common	300,000
InterCommunity Recovery Centers, Inc.	ADA Improvements Recovery House	381,000
InterCommunity Recovery Centers, Inc.	Energy Improvements Coventry House	234,000
InterCommunity Recovery Centers, Inc.	Improvements Recovery House	360,000
International Institute of Connecticut, Inc.	I/T	12,738
Justice Resource Institute, Inc.	New Construction	900,000
Key Human Services, Inc.	Generator	10,319
Key Human Services, Inc.	Generator	10,319
Key Human Services, Inc.	Generator	10,319
Key Human Services, Inc.	Generator	10,319
Key Human Services, Inc.	Generator	10,319
Key Human Services, Inc.	Generator	10,319
Key Human Services, Inc.	Generator - Quarry Road	9,819
Kids in Crisis, Inc.	Renovation	38,600
Kids in Crisis, Inc.	Vehicles	123,000
Klingberg Comprehensive Program Services, Inc.	Facility Improvement	100,000
Klingberg Comprehensive Program Services, Inc.	Improvement (elevator)	120,000
Klingberg Comprehensive Program Services, Inc.	EMR	225,500
Klingberg Comprehensive Program Services, Inc.	Vehicles	38,644
Klingberg Comprehensive Program Services, Inc.	Vehicle (Webster House)	35,340
Kuhn Employment Opportunities, Inc.	Safety	40,000
Laurel House, Inc.	Renovate	125,117
Liberty Community Services, Inc	Improvement - Roof	158,000
Life bridge Community Services, Inc. (2/9/15 Name Changed) FSW, Inc CT - Old Name	I/T	171,430
MARC Community Resources LTD	I/T	27,172
Marc, Inc of Manchester	Vehicle	98,990
Marc, Inc of Manchester	Renovation	218,800
MARC, Inc. of Manchester	Improvement - Parking Lot	30,972
Marrakech, Inc.	I/T - Electronic Document System	51,590
Marrakech, Inc.	I/T Rewiring	512,736
Martin House, Inc.	Computer Upgrade	17,975

Mental Health Connecticut, Inc.	EMR	796,214
Mercy Community Health, Inc.	Renovation	478,080
Mid-Fairfield AIDS Project, Inc.	Renovation Moore Place	52,000
Mid-Fairfield Child Guidance Center, Inc. d/b/a Child Guidance Center of Mid-Fairfield County	EMR	64,160
Mid-Fairfield Child Guidance Center, Inc. d/b/a Child Guidance Center of Mid-Fairfield County	Vehicle	142,500
Midwestern Connecticut Council of Alcoholism, Inc.	Improvement-Ridgebury Road	130,585
Midwestern Connecticut Council of Alcoholism, Inc.	EMR	94,880
Midwestern Connecticut Council of Alcoholism, Inc.	Renovation	160,541
Midwestern Connecticut Council of Alcoholism, Inc.	Vehicle	367,661
Montessori School on Edgewood, Inc.	Solar Panels	195,000
New England Residential Services, Inc.	Generators	39,720
New England Residential Services, Inc.	Wheelchair Vehicle	37,938
New London Homeless Hospitality Center, Inc.	Renovation	57,720
New Reach, Inc.	Renovation	404,100
New Seasons, Inc	Renovation Scott Drive	114,600
New Seasons, Inc	Renovation Marian Drive	8,000
New Seasons, Inc	Improvement - Equipment	68,169
New Seasons, Inc	Generator	78,500
New Seasons, Inc	Vehicles	191,356
New Seasons, Inc.	Renovation Leila Group Home	52,050
New Seasons, Inc.	Improvement Middlefield Group Home	35,700
New Seasons, Inc.	I/T	52,236
Noank Community Support Services, Inc.	EMR	231,762
Noank Community Support Services, Inc.	Vehicle	48,000
Norwalk Community Health Center, Inc.	Generator	150,000
Operation Fuel, Inc.	I/T	114,225
Opportunity House, Inc.	Vehicles	79,656
Optimus Health Care, Inc.	Generator	275,000
Our Piece of the Pie, Inc.	I/T	101,286
Planned Parenthood of Southern New England, Inc.	Renovation	404,763
Reliance House, Inc.	Improvement Smith Avenue	23,550
Reliance House, Inc.	Renovations/Bathroom Smith Avenue	25,000
Reliance House, Inc.	Vehicle	28,566
Reliance House, Inc.	HVAC Improvement	75,000
RMS Development, Inc.	Generator	55,645
Safe Futures, Inc	Improvement	29,780
Safe Futures, Inc	Roof Replacement	43,975
Safe Futures, Inc	Improvement	39,300
Safe Futures, Inc.	Improvement	103,910
Safe Haven of Greater Waterbury, Inc.	Wheel Chair Lift	31,477
SARAH, Inc	Building Renovations	42,434

SARAH, Inc	I/T	30,242
Sarah, Inc.	Vehicle	72,600
Shelter for the Homeless, Inc.	Shelter Improvement	153,000
South Park Inn, Inc.	Improvement - Boiler	75,000
South Park Inn, Inc.	Improvement - ceiling	22,980
Southwestern CT Agency on Aging, Inc.	I/T	541,700
St. Mary's Hospital Corp	Renovation	767,013
St. Vincent DePaul Mission of Bristol, Inc	Renovation - Shelter	33,040
St. Vincent DePaul Mission of Bristol, Inc	Improvement	87,600
St. Vincent DePaul Mission of Waterbury, Inc.	Emergency Backup - Midland Road	35,220
St. Vincent DePaul Mission of Waterbury, Inc.	Generator	44,530
St. Vincent's Special Needs Center, Inc., dba St. Vincent's Special Needs Services	Window Replacement	181,979
Star, Inc., Lighting the Way...	Improvement - Sprinkler System	209,500
Star, Inc., Lighting the Way...	14 Vehicles	342,600
Star, Inc., Lighting the Way...	I/T	136,723
Staywell Health Care, Inc.	Improvement	39,600
Susan B. Anthony Project, Inc.	Vehicles	25,551
The Access Agency, Inc.	Renovation	103,500
The ARC New London County, Inc.	Renovation - Elevator	212,255
The ARC New London County, Inc.	Renovation - Bathroom	35,753
The Arc of the Farmington Valley, Inc	Generators	63,675
The Arc of the Farmington Valley, Inc	Boiler/Generator System	51,513
The Arc of the Farmington Valley, Inc	Improvements to Canton Springs Road	104,485
The Arc of the Farmington Valley, Inc.	Sidewalks & Doors	62,260
The Arc of the Farmington Valley, Inc.	Renovations & Improvement	134,642
The Boys and Girls Clubs of Hartford, Inc.	Safety	67,500
The Boys and Girls Clubs of Hartford, Inc.	Safety - Northwest	75,000
The Bridge Family Center, Inc	Renovation - counseling rooms	270,760
The Bridge Family Center, Inc	I/T	27,930
The Center for Family Justice, Inc.	Improvement	162,000
The Child and Family Guidance Center, Inc	Improvement HVAC	615,000
The Children's Center of Hamden, Inc	Improvements	117,000
The Connecticut Women's Consortium, Inc.	I/T	69,000
The Connection, Inc	Howe Street Improvements	52,250
The Connection, Inc	Generator	14,000
The Connection, Inc	Generator - Liberty Street	14,000
The Curtis Home	Vehicle	38,403
The Hartford Dispensary, Inc.	Renovation - Willimantic Clinic	250,000
The Hartford Dispensary, Inc.	EMR	150,000
The Hartford Dispensary, Inc.	Renovation - Norwich Clinic	50,000

The Hartford Dispensary, Inc.	Renovation - New Britain Clinic	150,000
The Kennedy Center, Inc.	Generator	260,083
The Kennedy Center, Inc.	Improvements - Roof	42,498
The Kennedy Center, Inc.	Renovations Woodbridge	48,614
The Kennedy Center, Inc.	Vehicles	163,200
The Kennedy Center, Inc.	Renovate Bathrooms	24,500
The Open Hearth Association, Inc.	Windows	351,100
The Salvation Army	Renovation	82,258
The Southeastern Council on Alcoholism and Drug Dependence, Inc.	Vehicle	38,475
The Village for Families & Children, Inc	HVAC	500,000
The West Haven Community House Association, Inc.	I/T	31,100
The West Haven Community House Association, Inc.	I/T	29,057
Training Education and Manpower, Inc. d/b/a Team, Inc.	Vehicles	79,112
Training Education and Manpower, Inc. d/b/a Team, Inc.	I/T	27,555
Training Education and Manpower, Inc. d/b/a Team, Inc.	I/T Replacement	32,450
United Community and Family Services, Inc.	Generator	100,000
United Community and Family Services, Inc.	Vehicles	121,000
United Way of Connecticut, Inc.	I/T	339,000
Vantage Group, Inc.	Generators	31,255
Vantage Group, Inc.	Vehicle	42,061
Vantage Group, Inc.	Renovation	66,000
Vinfen Corporation of Connecticut, Inc.	Renovation	290,000
Vinfen Corporation of Connecticut, Inc.	Improvement	110,000
Visiting Nurse & Health Services of Connecticut, Inc.	EMR	26,225
Visiting Nurse Services of Connecticut, Inc.	EMR - Disaster Recovery	240,360
Vista Vocational and Life Skill Center, Inc.	I/T	123,260
Waterbury YMCA	Energy Upgrade	500,000
Waterbury Youth Services System, Inc	Renovation	56,000
Wellmore, Inc.	Generator - North Elm Street	48,000
Wellmore, Inc.	Generator - Griggs Street	48,000
Wellmore, Inc.	Generator - Beacon Street	58,000
Wellmore, Inc.	Improvement - Morris House	68,000
Wellmore, Inc.	Improvement - E. Main Street	45,360
Wheeler Clinic, Inc	I/T	877,500
Whole Life, Inc.	Generator	202,400
Whole Life, Inc.	Wheelchair Van	180,000
YMCA of Metropolitan Hartford, Inc.	Vehicle	58,564
		37,542,465

Appendix D

Department of Energy and Environmental Protection

Update of Agency Authorizations and Status Report

Appendix D
Department of Energy and Environmental Protection
Update of Agency Authorizations

INFORMATION UPDATE FOR BOND AUTHORIZATIONS AVAILABLE FOR ALLOCATION DURING FY 2015-16								
	Prior and FY 16	Unallocated Balance						
Project Reference	Authorizations	after Dec 11 SBC mtg.	Current Allocated but Unallotted	Current Allotted but Unspent	Current Status of Project(s)	Estimated Completion Date of Project(s)	Amount of Federal/Municipal matching funds	Comments
Department of Energy and Environmental Protection								
Agency Projects and Programs - Energy								
Renewable energy grant program - Energy services projects that results in increased efficiency measures in state buildings pursuant to section 16a-38l, or any renewable energy or combined heat and power project in state buildings. CGS Sec. 16a-38o. PA 07-242, Sec. 108; PA 10-44, Sec. 32; PA 11-57, Sec. 68	20,000,000	4,101,200	0		Project delivery in process	On-going (Multi projects)		
Grant-in-aid Programs - Energy								
Microgrids - A program to establish energy microgrids to support critical municipal infrastructure. PA 12-189, Sec. 9(b)(2); PA 13-239, Secs. 13(c)(4), 32(c)(5) & 62; PA 15-1, (JSS), Sec. 219	25,000,000	4,900,000	0		Project delivery in process	On-going (Multi projects)		
	15,000,000	15,000,000	NA					
	15,000,000 5,000,000	5,000,000	NA					
Boiler Replacement Program - Energy efficiency fuel oil furnace and boiler replacement, upgrade and repair program established under Sec. 50 of PA 11-1, (OSS). PA 11-1, (OSS), Sec. 49; PA 15-1, (JSS), Sec. 199	5,000,000	5,000,000	NA					
Agency Projects and Programs - Environment								
Recreation and Natural Heritage Trust Program (state open space program) - Recreation and natural heritage trust program for recreation, open space, resource protection and resource management. CGS Sec. 23-73. PA 96-181, Secs. 2(b)(1) & 54; SA 97-1, (J5 SS), Secs. 2(g)(1) & 21(g)(1); SA 98-9, Sec. 2(a)(1); PA 99-242, Secs. 2(f)(1) & 21(e)(1); SA 01-2, (JSS), Secs. 2(d)(1) & 17(b)(1); SA 02-1, (M9 SS), Secs. 17(j)(1) & 93(1) - up to \$4 mil. may be used for aerial	4,500,000	0	0		Project delivery in process	On-going (Multi projects)		
	7,500,000	750,000	0		Project delivery in process	"		DEEP Feb 2016 Bond Letter requests: \$7.5M for New Acquisitions of State Land
	5,000,000	5,000,000	NA					
	8,000,000	8,000,000	NA					

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Department of Energy and Environmental Protection
Update of Agency Authorizations

Project Reference	Prior and FY 16 Authorizations	Unallocated Balance after Dec 11 SBC mtg.	Current Allocated but Unallotted	Current Allotted but Unspent	Current Status of Project(s)	Estimated Completion Date of Project(s)	Amount of Federal/Municipal matching funds	Comments
photography/pictometry for land use and strategic asset planning; SA 04-2, (MSS), Secs. 103 & 117; SA 05-1, (JSS), Secs. 2(j)(1) & 21(g)(1); PA 07-7, (JSS), Secs. 2(l)(1) & 21(h)(1); PA 10-44, Sec. 223. PA 13-239, Secs. 2(g)(3) & 21(g)(4); PA 14-98, Sec. 81; PA 15-1, (JSS), Secs. 2(j)(3) & 216	10,000,000	10,000,000	NA					
State Parks Improvement Program - Alterations, renovations and new construction at state parks and other recreation facilities including Americans with Disabilities Act improvements. SA 95-20, Secs. 2(h)(6) & 22(h)(6); SA 97-1, (J5 SS), Secs. 2(g)(6) & 21(g)(6); SA 98-9, Sec. 2(a)(2); PA 99-242, Secs. 2(f)(2) & 21(e)(2); SA 01-2, (JSS), Secs. 2(d)(2) & 17(b)(2); SA 02-1, (M9 SS), Secs. 17(j)(2) & 93(2); SA 04-2, (MSS), Secs. 77 & 118. PA 04-1, (MSS), Sec. 34; SA 05-1, (JSS), Secs. 2(j)(2), 21(g)(2) & 90; PA 11-57, Secs. 2(i)(2) & 21(h)(2)	15,000,000	0	0		Project delivery in process	On-going (Multi projects)		
	45,000,000	11,294,278	0		Other See Notes in Column J	"		\$1,750,000 was Allocated @ 1/29/16 BCM for Emer./Minor Cap Imprvs.
	15,000,000	15,000,000	NA					DEEP Feb 2016 Bond Letter requests: \$11,804,932 for Hammonasset Utility Systems Upgrades; \$297,000 for Branford River State Boat Launch Renovations
Underground Storage Tank (UST) Program - \$9 million authorized in each of FY 13, FY 14, FY 15 and FY 16 (\$36 million total). PA 12-189, Sec. 48	36,000,000	9,000,000	0		Project delivery in process	On-going (Multi projects)		DEEP Feb 2016 Bond Letter requests: \$9.0M for UST Clean-up reimb. payments
Dam repairs, including state-owned dams. SA 95-20, Secs. 2(h)(2) & 22(h)(2); SA 97-1, (J5 SS), Secs. 2(g)(4), 21(g)(4), 40 & 61; PA 99-242, Secs. 2(f)(4) & 21(e)(3); SA 01-2, (JSS), Sec. 40, 50, 53, 59 & 71; SA 02-1, (M9 SS), Sec. 2(d); SA 04-2, (MSS), Sec. 2(f); SA 05-1, (JSS), Secs. 2(j)(3) & 21(g)(3); PA 07-7, (JSS), Secs. 2(l)(2) & 21(h)(2); PA 11-57, Secs. 2(i)(1) & 21(h)(1); PA 13-239, Secs. 2(g)(1) & 21(g)(1); PA 15-1, (JSS), Sec. 2(j)(1)	4,000,000	0	0		Project delivery in process	On-going (Multi projects)		
	4,000,000	0	0		Project delivery in process	"		
	6,000,000	62,252	0		Project delivery in process	"		
	6,000,000 5,000,000	0	0		Project delivery in process	"		
	8,350,000	8,350,000	NA					
Grant-in-aid Programs - Environment								

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Department of Energy and Environmental Protection
Update of Agency Authorizations

Project Reference	Prior and FY 16 Authorizations	Unallocated Balance after Dec 11 SBC mtg.	Current Allocated but Unallotted	Current Allotted but Unspent	Current Status of Project(s)	Estimated Completion Date of Project(s)	Amount of Federal/Municipal matching funds	Comments
<u>Grants-in-aid to towns for open space (town open space program)</u> - Grants-in-aid to municipalities for open space land acquisition and development for conservation or recreation purposes. CGS Sec. 7-131d. SA 98-9, Sec. 9(a); PA 99-242, Secs. 13(b)(2) & 32(b)(2); SA 01-2, (JSS), Secs. 9(b)(2) & 28(b)(2); SA 02-1, (M9 SS), Secs. 24(d) & 103(2); SA 04-2, (MSS), Sec. 13(g); SA 05-1, (JSS), Secs. 13(d)(1) & 32(d)(1); PA 07-7, (JSS), Secs. 13(d)(1) & 32(d)(1); PA 11-57, Secs. 13(a)(2) & 32(a)(2); PA 13-239, Secs. 13(c)(1) & 32(c)(1)	1,750,000	500,000	0		Project delivery in process	On-going (Multi projects)		See Comment Below
	7,500,000	0	0		Project delivery in process	"		
	5,000,000	0	0		Project delivery in process	"		
	5,000,000	2,500,000	0		Project delivery in process	"		DEEP Feb 2016 Bond Letter requests: \$5.0M for Grant Round of Open Space Projects
	10,000,000	10,000,000	NA					
	10,000,000	10,000,000	NA					
Grants-in-aid to municipalities for open space land acquisition and development for conservation or recreational purposes. PA 15-1, (JSS), Sec. 13(d)(3)	8,000,000	8,000,000	NA					
Grants-in-aid <i>or loans</i> to municipalities for acquisition of land, public parks, recreational <i>and water quality improvements</i> . PA 14-98, Sec. 9(d)	20,000,000	10,925,000	0		Other See Notes in Column J	On-going (Multi projects)		Per OPM's request, \$3,169,500 was Allocated @ 1/29/16 BCM for (7) Municipal projects
Grants-in-aid to municipalities for open space land acquisition and development for conservation or recreational purposes. PA 15-1, (JSS), Sec. 13(d)(3)	8,000,000	8,000,000	NA		Project delivery in process	On-going (Multi projects)		

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Department of Energy and Environmental Protection
Update of Agency Authorizations

Project Reference	Prior and FY 16 Authorizations	Unallocated Balance after Dec 11 SBC mtg.	Current Allocated but Unallotted	Current Allotted but Unspent	Current Status of Project(s)	Estimated Completion Date of Project(s)	Amount of Federal/Municipal matching funds	Comments
Omnibus for various DEEP programs including Urban Sites, Superfund, Potable Water and Water Pollution Control - Grants-in-aid for; (1) for containment, removal or mitigation of identified hazardous waste disposal sites and to municipalities for new water mains to replace water supplied from contaminated wells, (2) for identification, investigation, containment, removal or mitigation of contaminated industrial sites in urban areas, (3) to municipalities for acquisition of land, for public parks, recreational and water quality improvements, water mains and water pollution control facilities, including sewer projects, (4) to municipalities for the purpose of providing potable water, and (5) to state agencies, regional planning agencies and municipalities for water pollution control projects. PA 09-2, (SSS), Sec. 34(a); PA 11-57, Sec. 89	16,000,000	11,350,820	2,100,000 (Haddam/Tylerville)		Project delivery in process	On-going (Multi projects)		
Urban sites remediation (brownfields) - Grants-in-aid for identification, investigation, containment, removal or mitigation of contaminated industrial sites in urban areas. PA 13-239, Secs. 13(c)(3) & 32(c)(3)	5,000,000	4,770,000	0		Project delivery in process	On-going (Multi projects)		
	5,000,000	5,000,000						
State Superfund Program - Containment, removal or mitigation of identified hazardous waste disposal sites, and for grants-in-aid to municipalities for new water mains to replace water supplied from contaminated wells. SA 95-20, Secs. 13(a)(3) & 33(a)(3); SA 97-1, (J5 SS), Secs. 13(c)(3) & 32(b)(3); PA 99-242, Sec. 32(b)(3); SA 02-1, (M9 SS), Sec. 50; SA 05-1, (JSS), Secs. 13(d)(2) & 32(d)(2); PA 07-7, (JSS), Secs. 13(d)(2) & 32(d)(2)	17,500,000	0	0		Project delivery in process	On-going (Multi projects)		
	17,500,000	9,718,000	0		Project delivery in process	"		DEEP Feb 2016 Bond Letter requests: \$4.4M for remed. of J. Swift Chemical Site in Canton
Superfund - Grants-in-aid for containment, removal or mitigation of identified hazardous waste disposal sites. PA 11-57, Secs. 13(a)(1) & 32(a)(1); PA 15-1, (JSS), Sec. 201	10,000,000	10,000,000	NA					

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	Prior and FY 16	Unallocated Balance						
Project Reference	Authorizations	after Dec 11 SBC mtg.	Current Allocated but Unallotted	Current Allotted but Unspent	Current Status of Project(s)	Estimated Completion Date of Project(s)	Amount of Federal/Municipal matching funds	Comments
	5,000,000	5,000,000	NA					
Incinerators and landfills - Grants-in-aid to municipalities for improvements to incinerators and landfills, including but not limited to bulky waste landfills. PA 99-242, Secs. 13(b)(5) & 32(b)(7); PA 00-167, Secs. 46 & 52; SA 01-2, (JSS), Sec. 89; SA 02-1, (M9 SS), Sec. 60; PA 07-7, (JSS), Sec. 118; PA 13-239, Secs. 13(c)(2) & 32(c)(2)	6,900,000	540,000	0		Project delivery in process	On-going (Multi projects)		
	15,000,000	0	0		Project delivery in process	"		
	1,400,000	250,000	0		Project delivery in process	"		
	1,000,000	1,000,000			Other See Notes in Column J			\$600,000 was Allocated @ 1/29/16 BCM for Old Lyme Landfill Closure
Flood control, erosion repair & municipal dam repair - Various flood control improvements, flood repair, erosion damage repairs and municipal dam repairs. CGS Sec. 25-71. PA 99-242, Secs. 2(f)(5) & 21(e)(4); SA 01-2, (JSS), Secs. 2(d)(4) & 17(b)(3); SA 04-2, (MSS), Sec. 78; SA 05-1, (JSS), Sec. 2(j)(4) - \$500,000 shall be made available for design and rehabilitation of the Quinnipiac River at Hanover Pond project in Meriden; SA 05-1, (JSS), Sec. 21(g)(4) - \$2,000,000 shall be made available for design and construction of the Meriden flood control project; PA 07-7, (JSS), Secs. 2(l)(3) & 21(h)(3)); PA 13-239, Secs. 2(g)(2) & 21(g)(3); PA 15-1, (JSS), Sec. 2(j)(2)	3,500,000	675,000	0		Project delivery in process	On-going (Multi projects)		
	3,000,000	900,000	0		Project delivery in process	"		
	7,500,000	0	0		Project delivery in process	"		
	7,500,000	0	0		Project delivery in process	"		\$1,531,600 was Re-Allocated @ 1/29/16 BCM for So.Branch Park River Proj. (Supp.)
	4,500,000	0	0		Project delivery in process	"		
	6,900,000	4,099,710	0		Project delivery in process	"		
	5,000,000	5,000,000	NA					
Lakes restoration program in accordance with sections 22a-339a through 22a-339e of the general statutes. PA 96-181, Sec. 2(b)(2); PA 99-242, Secs. 13(b)(3) & 32(b)(4); SA 01-2, (JSS), Secs. 9(b)(3) & 28(b)(3); PA 07-7, (JSS), Secs. 13(d)(5) & 32(d)(4); PA 15-1, (JSS), Sec. 142	200,000 (Also see: Waterbury: Earmark for Fulton Park)	200,000	NA					

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Department of Energy and Environmental Protection
Update of Agency Authorizations

Project Reference	Prior and FY 16 Authorizations	Unallocated Balance after Dec 11 SBC mtg.	Current Allocated but Unallotted	Current Allotted but Unspent	Current Status of Project(s)	Estimated Completion Date of Project(s)	Amount of Federal/Municipal matching funds	Comments
Potable water - Grants-in-aid to municipalities for the purpose of providing potable water. SA 95-20, Secs. 13(a)(1) & 33(a)(1);SA 97-1, (J5 SS), Secs. 13(c)(2) & 32(b)(2); SA 01-2, (JSS), Sec. 55; PA 07-7, (JSS), Secs. 13(d)(6) & 32(d)(5); PA 13-239, Sec. 32(c)(4)	2,500,000	2,258,707	0		Project delivery in process	On-going (Multi projects)		
	1,000,000	1,000,000	NA					
For a Long Island Sound Stewardship and resiliency program for protection of coastal marshes and other natural buffer areas and for grants-in-aid to increase the resilience of wastewater treatment facilities. PA 15-1. (JSS). Sec. 13(d)(1)	20,000,000	20,000,000	NA					
Grants-in-aid to municipalities to encourage low impact design of green municipal infrastructure to reduce non-point source pollution. PA 15-1, (JSS), Sec. 13(d)(2)	20,000,000	20,000,000	NA					
Connecticut bikeway, pedestrian walkway, recreational trail and greenway grant program for grants-in-aid to municipalities and private nonprofit organizations. PA 07-7, (JSS), Sec. 68; PA 09-2, (SSS), Sec. 58; PA 15-1, (JSS), Sec. 65	2,000,000	2,000,000			Other See Notes in Column J			\$2.0M was Allocated @ 1/29/16 BCM for Grant awards,
	5,000,000	5,000,000			Other See Notes in Column J			\$5.0M was Allocated @ 1/29/16 BCM for Grant awards,
Clean Water Fund grants-in-aid to towns. CGS Sec. 22a-483(a). PA 95-272, Secs. 1(b)(1) & 11; PA 97-1, (J5 SS), Sec. 15; PA 98-259, Sec. 11; PA 99-241, Sec. 14; PA 01-7, (JSS), Sec. 6; PA 02-5, (M9 SS), Sec. 12; PA 04-1, (MSS), Secs. 8 & 40; PA 05-5, (JSS), Secs. 10 & 14; PA 07-7, (JSS), Sec. 50; PA 09-2, (SSS), Sec. 5; PA 10-44, Sec. 35; PA 11-57, Sec 72; PA 13-239, Sec. 65; PA 15-1, (JSS), Sec. 63	1,560,125,976	222,500,000			Project delivery in process	On-going (Multi projects)		
Clean Water Fund low interest loans to towns. CGS Sec. 22a-483(d). PA 97-1, (J5 SS), Sec. 16; PA 99-241, Sec. 15; PA 01-7, (JSS), Sec. 7; PA 05-5, (JSS), Sec. 11; PA 07-7, (JSS), Sec. 51; PA 09-2, (SSS), Sec. 6; PA 10-44, Sec. 25; PA 11-57, Sec 73; PA 13-239, Sec. 66; PA 15-1, (JSS), Sec. 64	3,195,580,000	400,400,000			Project delivery in process	On-going (Multi projects)		

Appendix D
Department of Energy and Environmental Protection
Update of Agency Authorizations

Project Reference	Prior and FY 16 Authorizations	Unallocated Balance after Dec 11 SBC mtg.	Current Allocated but Unallotted	Current Allotted but Unspent	Current Status of Project(s)	Estimated Completion Date of Project(s)	Amount of Federal/Municipal matching funds	Comments
Earmarked Grants-in-Aid								
<u>Storm-damaged home buy-out program</u> - Buy-out program for homeowners and businesses that receive Federal Emergency Management Agency funding related to property damage due to weather events in 2011 and subsequent years. PA 12-189, Sec. 39; PA 14-98, Sec. 41	1,000,000	1,000,000	NA					
<u>Study of mitigation for storm-related flooding</u> - Study and assess feasible alternatives to plan, design, acquire and construct, as may be necessary, structural and nonstructural improvements to mitigate flooding conditions that caused property damage due to weather events in 2011, which shall include, but need not be limited to, a cost benefit analysis and an analysis of the environmental impact of such alternatives. PA 12-189, Sec. 9(b)(1)	2,000,000	2,000,000			Other See Notes in Column J	On-going (Multi-phase)		\$300,000 was Allocated @ 1/29/16 BCM for Risk Mgt Study
<u>Branford: Branford High School</u> - Grant-in-aid to Branford, for improvements to the football field at Branford High School. SA 05-1, (JSS), Sec. 32(d)(21)	150,000	150,000	NA		Other See Notes in Column J			Status of Project Unknown, Applicant has not contacted DEEP
<u>Bristol: Rockwell Park</u> - Grant-in-aid to Bristol for rehabilitation and renovation of Rockwell Park. SA 05-1, (JSS), Sec. 32(d)(39); PA 15-1, (JSS), Sec. 114	500,000	500,000	NA		Other See Notes in Column J			Status of Project Unknown, Applicant has not contacted DEEP
<u>Bristol: Indian Rock Nature Preserve</u> - Grant-in-aid to Environmental Learning Center, Inc. for infrastructure projects at Indian Rock Nature Preserve in Bristol. PA 07-7, (JSS), Sec. 13(d)(15)	200,000	200,000	NA		Other See Notes in Column J			Status of Project Unknown, Applicant has not contacted DEEP

Appendix D
Department of Energy and Environmental Protection
Update of Agency Authorizations

	Prior and FY 16	Unallocated Balance						
Project Reference	Authorizations	after Dec 11 SBC mtg.	Current Allocated but Unallotted	Current Allotted but Unspent	Current Status of Project(s)	Estimated Completion Date of Project(s)	Amount of Federal/Municipal matching funds	Comments
<u>Cromwell: parks</u> - Grant-in-aid to Cromwell for improvements to parks and fields at Watrous Park, Cromwell middle and high schools and Pierson Park. SA 05-1, (JSS), Sec. 13(d)(29)	350,000	100,000	0		Other See Notes in Column J			Status of Project Unknown, Applicant has not contacted DEEP
<u>East Lyme: Oswegatchie Hills</u> - Grant-in-aid to East Lyme for the purchase of Oswegatchie Hills for open space. SA 05-1, (JSS), Sec. 13(d)(19)	2,000,000	1,800,000	0		Other See Notes in Column J			Status of Project Unknown, Additional Applicants have not contacted DEEP
<u>Fairfield: Rooster River</u> - Grant-in-aid to Fairfield for the Rooster River flood control project. PA 07-7, (JSS), Sec. 13(d)(34)	14,500,000	14,500,000	NA		Other See Notes in Column J			Status of Project in preliminary discussion, Town just recently contacted DEEP
<u>Ledyard: water main extension</u> - Grant-in-aid to Ledyard for a water main extension. SA 05-1, (JSS), Sec. 13(d)(20)	1,000,000	1,000,000	NA		Other See Notes in Column J			Status of Project Unknown, Applicant has not contacted DEEP
<u>Lyme: recreational fields</u> - Grant-in-aid to Lyme for improvements to the Lyme-Old Lyme recreational fields. SA 05-1, (JSS), Sec. 32(d)(18)	150,000	150,000	NA		Other See Notes in Column J			Status of Project Unknown, Applicant has not contacted DEEP
<u>Manchester: East Coast Greenway</u> - Grant-in-aid to Manchester for development and construction of the Manchester to Bolton segment of the East Coast Greenway. PA 07-7, (JSS), Sec. 13(d)(25);	500,000	500,000	NA		Other See Notes in Column J			Status of Project Unknown, Applicant has not contacted DEEP
<u>Mansfield:</u> Grant-in-aid to Mansfield for the wastewater component of the Four Corners project. PA 14-98, Sec. 97	3,000,000	3,000,000	NA		Other See Notes in Column J			Funds requested by Town, Pending placement on Bond Comm. Agenda
<u>Middletown: Crystal Lake</u> - Grant-in-aid to Middletown for watershed management at Crystal Lake. SA 05-1, (JSS), Sec. 13(d)(25); PA 07-7, (JSS), Sec. 172	50,000	50,000	NA		Other See Notes in Column J			Status of Project Unknown, Applicant has not contacted DEEP
<u>Norwalk: flood control</u> - Grant-in-aid to Norwalk for improvements in the flood control system. PA 07-7, (JSS), Sec. 13(d)(33)	3,005,000	3,005,000	NA		Other See Notes in Column J			Status of Project Unknown, Applicant has not contacted DEEP

Appendix D
Department of Energy and Environmental Protection
Update of Agency Authorizations

Project Reference	Prior and FY 16 Authorizations	Unallocated Balance after Dec 11 SBC mtg.	Current Allocated but Unallotted	Current Allotted but Unspent	Current Status of Project(s)	Estimated Completion Date of Project(s)	Amount of Federal/Municipal matching funds	Comments
<u>Portland: water mains</u> - Grant-in-aid to Portland for replacement of water mains. PA 07-7, (JSS), Sec. 13(d)(40)	1,000,000	1,000,000	NA		Other See Notes in Column J			Status of Project Unknown, Applicant has not contacted DEEP
<u>Stamford: Holly Pond</u> - Grant-in-aid to Stamford for the Holly Pond Tidal Restoration project. SA 05-1, (JSS), Sec. 32(d)(19); PA 10-44, Sec. 179	500,000	500,000	NA		Other See Notes in Column J			Status of Project Unknown, Applicant has not contacted DEEP
<u>Wallingford: School athletic fields</u> - Grant-in-aid to Wallingford for renovations to athletic fields at the town's public schools. SA 05-1, (JSS), Sec. 32(d)(25); PA 13-239, Sec. 86; PA 15-1, (JSS), Sec. 112	250,000	250,000	NA		Other See Notes in Column J			\$250,000 Allocated @ 1/29/16 BCM for Town Athletic Fields
<u>Waterbury: Fulton Park</u> - Grant-in-aid for improvements to facilities and property located at latitude 41.5720414 and longitude -73.0401073. PA 07-7, (JSS), Sec. 13(d)(5); PA 15-1, (JSS), Sec. 142	487,805	487,805	NA		Other See Notes in Column J			\$487,805 was orig. Authorized for DEEP Lakes Mgt Program. Implementer Bill re-Auth. PA 07-7, Sec. 13(d)(5) to include an earmark to City of Waterbury
<u>Wethersfield: flood control</u> - Grant-in-aid for drainage and flood control improvements. SA 88-77, Sec. 23(j)(33)	1,750,000	436,907	0		Other See Notes in Column J			Funds requested by Town, Pending placement on Bond Comm. Agenda
<u>Windham: Willimantic whitewater park</u> - Grant-in-aid to Windham for a feasibility study for a whitewater park in Willimantic. SA 05-1, (JSS), Sec. 13(d)(17)	450,000	450,000	NA		Other See Notes in Column J			Status of Project Unknown, Applicant has not contacted DEEP

Appendix E

Department of Children and Families

List of Department Infrastructure Projects

Facility/Location	Description	Additional Information	Approx. Start/ Completion Dates	Estimated Cost \$	DCF Comments:
Albert J Solnit Children's Center East Windsor	Code update & Structural Repairs to Maintenance Building	Existing 1909 maintenance building is in serious need for a complete renovation	(180 days)	380,000	Requested from bonding, still pending approval
Wilderness School, East Hartland	Complete new bath structure with staff support area	Existing 1990 bath house is deteriorating and does not meet the program needs	(120 days)	350,000	Design drafted pending request.
Wilderness School, East Hartland	Replace Admin basement floor and new kitchen cabinets	Existing cabinets are particle board composition and have exceeded their life use.	(90 days)	120,000	Floor in construction cabinets TBD
Wilderness School, East Hartland	Replace kitchen cabinets (dining hall)	Existing cabinets are particle board composition and have exceeded their life use.	(90 days)	100,000	Not Requested
Wilderness School, East Hartland	Dining Hall window replacement	Existing windows are not energy efficient and have reached their life use	(60 days)	30,000	Not requested
Wilderness School, East Hartland	Hydronic Heating System	Replace existing electric heating system with efficient hydronic system	(120 days)	60,000	Not requested
Albert J Solnit Children's Center Middletown	School West roof replacement	Existing roof is over 20 years old and has failed Patching has not resulted in permanent repairs	(90 days)	1,000,000+	Will commence in spring or summer 2016
Albert J Solnit Children's Center Middletown	New pool filtration system	Existing pool filtration system is over 30 years old resulting in component and piping deterioration	(60 days)	230,000	\$80k funds for devlpmt stage. Add'l amount not requested

Facility/Location	Description	Additional Information	Approx. Start/Completion Dates	Estimated Cost \$	DCF Comments:
Albert J Solnit Children's Center Middletown	Silvermine roof replacement	Existing 20 year old metal roof has never performed properly with continual leaking. New code requirements have added labor and material	(90 days)	\$60,000	Partially Funded \$60k- New codes require outside design by professionals. Will need to request additional funds est.
Albert J Solnit Children's Center East Windsor	Drainage and road repair	Drainage, sidewalks and asphalt issues are creating an unsafe environment.	(120 days)	400,000	Not Requested Same
Albert J Solnit Children's Center Middletown	Road, sidewalk replacement	Sidewalks, roads and parking lots need replacements or repair for safety requirements	TBD	350,000	Rec'd.
Albert J Solnit Children's Center Middletown	Sprinklers	All cottages are currently without any sprinklers.	TBD	350,000	Rec'd. working with DCS for design issues
Albert J Solnit Children's Center Middletown	New maintenance storage building	The lack of an accessible storage area at the campus is a big hindrance to efficiencies	(220)	350,000	Not Requested
Albert J Solnit Children's Center Middletown	School west boiler replacement	Existing 30 year old boilers have exceeded life use	(90 days)	250,000	Not Requested Same
Albert J Solnit Children's Center Middletown	Building 8 Chiller replacement	Manufacturer no longer services this system in the US	(90 days)	220,000	Not Requested
Albert J Solnit Children's Center East Windsor	Dining Hall Entrance Roofs	Roofs are at the end of their timeline and beginning to fail	(120 days)	50,000	Not Requested Same
Albert J Solnit Children's Center Middletown	Sprinkler heads	Need to change existing sprinkler heads to recessed	TBD	70,000	Funds requested, working on project

Facility/Location	Description	Additional Information	Approx. Start/Completion Dates	Estimated Cost \$	DCF Comments:
Albert J Solnit Children's Center Middletown	Windows	Replace (3) cottage windows as existing are deteriorating and not energy efficient	TBD	180,000	In design phase
Albert J Solnit Children's Center Middletown	Energy Management System	Existing is obsolete and malfunctioning, parts not available.	(90 days)	200,000	Not Requested Same
Connecticut Juvenile Training School	Entrance Security Improvements	Due to the increase in security issues this improvement will secure the client entry	(90 days)	27,000	Requested, getting estimates from vendors.
Connecticut Juvenile Training School	Security System	Existing system is outdated, new PLC's are necessary. Cannot communicate with new building systems.	(120 days)	110,000	Not Requested Increase in costs
Albert J Solnit Children's Center Middletown	School West Gym Remodel	Gym stage, flooring, ceiling, etc. have not been upgraded since construction 1970's	TBD	200,000	Not Requested
Connecticut Juvenile Training School	Phase II JJ Girls	Create offices, add bathroom and HVAC to basement, new flooring and ceiling.	(200 days)	350,000	Not Requested
CT Juvenile Training School	Fire Alarm Panel	Upgrade is necessary as existing is obsolete and parts no longer available	(120 days)	90,000	Not Requested
Tress Road Group Home, Prospect	Exterior building and site work	Replace roof/ gutters. Repoint and cap chimney, repair sidewalks and back patio	(45 days)	40,000	Bonds rec'd, bid packages in process.
High Meadows, 865 Hartford Turnpike, Hamden	Gym roof	Existing has been damaged but patched for years. Can no longer be repaired to keep structures free from weather damage	TBD needs to be coordinated with DCS for asbestos abatement	150,000	Bonds rec'd

Facility/Location	Description	Additional Information	Approx. Start/ Completion Dates	Estimated Cost \$	DCF Comments:
High Meadows 865 Hartford Turnpike, Hamden	Campus Roads	Roads have been corroding for many years and are beyond patching.	Spring/Summer (120 days)	250,000	Bonds rec'd. Calculations and estimates rec'd, bid package in process.
Misc. Minor Capital and Emergency Projects	Minor and emergency repairs	Provides a source for small projects and emergencies	Not available	300,000	Rec'd \$300k funding returned to DCS for steam line repair.